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ORIGINAL RESEARCH ARTICLE

Effects of different preparing conditions and annealing on the photocatalytic activity of hydrothermal grown BiVO₄

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ABSTRACT

BiVO₄ was hydrothermally synthesized under different preparing conditions and characterized by XRD, SEM, Raman spectrum and BET specific surface area. The influence of different pH value and annealing temperature and hydrothermal time on the morphologies and structures of the BiVO₄ samples was investigated systematically. It can be found that annealing would eliminate the effects caused by the pH of precursor, heating temperature and heating time, but preparing conditions still influenced the size and specific surface area of samples. Furthermore, the photocatalytic activities of the fabricated BiVO₄ were also evaluated by the degradation of methyl blue in aqueous solution under UV and visible light irradiation.

Keywords: Bismuth Vanadate; Photocatalytic Activity; Morphology; Hydrothermal; Preparing Conditions

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1. Introduction

With the huge consumption of traditional fossil fuels in the past several centuries, such as coal, crude oil and natural gas, human beings are now confronting a great energy crisis and environmental pollution issues. Accordingly, the development of semiconductor photocatalysts for organic pollutant degradation and water splitting has been regarded as an efficient, green and promising solution to energy replacement and environmental decontamination^[1-5]. Among various semiconductor materials, TiO₂ has been regarded as one of the most promising photocatalysts owing to its large exciton binding energy, high chemical stability, non-toxicity and abundant availability^[6,7]. However, its large band gap (~3.0 eV for rutile and 3.2 eV for anatase) means that TiO₂ is only active in the ultraviolet (UV) region, which accounts for less than 5% of the total energy of the solar spectrum^[8,9]. In the past few decades, many researchers have focused their efforts on redeveloping visible-light-driven TiO₂-based photo-catalysts with high efficiency^[10,11]. For example, Asahi *et al.*^[12] and Khan *et al.*^[13] reported that doping TiO₂ with nitrogen or carbon can narrow its band gap, and Putnam *et al.*^[14] and Brezova *et al.*^[15] reported that doping TiO₂ with metal ions extends light absorption into visible region. Nevertheless, as for the doped TiO₂ photocatalysts, the dopants may likely act as recombination centers for the photogenerated electrons and holes, resulting in lower quantum efficiency compared to undoped semiconductor photocatalysts^[16,17].

Bismuth vanadate (BiVO_4) has long been recognized as an important semiconductor photocatalyst, owning excellent photocatalytic performance under visible light illumination due to its narrow band gap (2.4 eV)^[18-22]. There are three reported crystalline phases for synthetic BiVO_4 , zircon structure with tetragonal system (z-t) and scheelite structure with monoclinic (s-m) and tetragonal (s-t) systems^[23]. Therefore, many researchers focus their efforts on the photo-physical and photo-catalytic properties of BiVO_4 strongly influenced by its crystal form and preparation methods such as solid-state reaction^[24,25], aqueous process^[12,26-28], hydrothermal approach^[29-32], chemical bath deposition^[33,34], metal-organic decomposition^[35], and sono-chemical method^[35]. The architectural control of nano- and micro-crystals is an attractive and challenging goal of modern materials chemistry^[36]. To this end, several exceptional structures, such as 1D BiVO_4 nanofibers, rod-like BiVO_4 and 2D BiVO_4 nanosheets, have been successfully fabricated by hydrothermal methods^[37-39]. With these advanced processes, different surfactants or additives and complex treatments were necessary in order to obtain the desired morphologies and crystal structures^[4,23,40,41]. Considering the properties and applications are closely related to the microstructure and corresponding synthetic techniques and processes, it is of great importance to study the controllable synthesis of BiVO_4 with the desired crystalline phase and morphology.

In this work, BiVO_4 has been synthesized by a hydrothermal approach. The photocatalytic activities of BiVO_4 prepared via different conditions were compared via the decomposition of methylene blue (MB) under UV and visible light irradiation. Also, the relationship between photocatalytic performance and fabrication parameters of BiVO_4 has been systematically investigated.

2. Experimental procedure

All the reagents used in the experiment are analytical grade without further purification.

2.1 Fabrication of BiVO_4

In a typical synthesis, 5.0 mmol Ammonium metavanadate (NH_4VO_3) and 5.0 mmol Bismuth nitrate pentahydrate ($\text{Bi}(\text{NO}_3)_3 \cdot 5\text{H}_2\text{O}$) were dissolved in 60.0 ml 1.0 M HNO_3 solution, stirring to obtain orange precipitate. The diluted ammonia water was then added for adjusting the pH value to 6. After stirring for 0.5 h, the solution was moved to 100 ml of Teflon-lined stainless steel autoclave and heated to 80°C, 100°C, 120°C, 140°C, 160°C, 180°C, and 200°C for 8 h under autogenous pressure, respectively. When cooled down to room temperature naturally, the yellow precipitate was obtained by centrifugation, washed with distilled water and absolute alcohol, and dried at 80°C in an electric oven for 8 h. The dried samples were heated from room temperature to 600°C at a constant rate within 2 h in the sintering furnace and annealed at 600°C for 2 h. After the temperature dropped to 300°C within 1 h, the samples were cooled down to room temperature naturally. The optimal preparation conditions were investigated by the influence of adjusting the hydrothermal temperature, the pH value of precursor (2, 4, 6, 8, 10, 12) and the hydro-thermal time (4 h, 6 h, 8 h, 12 h) on the photocatalytic activities.

2.2 Measurement of photocatalytic activities

Photocatalytic activities of the BiVO_4 powders were evaluated by the degradation of methyl blue (MB) under visible light irradiation ($\lambda > 420$ nm) using a 500 W Xe lamp with a 420 nm cut-off filter. In a typical run, 50 mg of samples was added to 50 ml of MB solution (1×10^{-5} mol/L). Prior to irradiation, the suspensions were stirred in the dark for 1 h to reach an adsorption/desorption equilibrium between the MB and photocatalyst. Under irradiation, the suspensions were stirred continually and open to air. At regular intervals, 4 ml of the suspensions were collected, and then centrifuged to remove the photocatalyst particles. These solutions were used to evaluate photocatalytic activities of the BiVO_4 powders by monitoring the absorbance at 554 nm with a UV-vis spectrophotometer (Shimadzu UV-3600).

2.3 Material characterization

The microstructure and morphology were investigated by using field emission scanning electron microscope (FE-SEM, Hitachi S-4800) and transmission electron microscopy (TEM, FEI Tecnai G2 F30 microscope operated at 300 KV). XRD measurements were performed on a Rigaku D/MAX-2400 X-ray diffractometer using Cu K α radiation ($\lambda = 0.154056$ nm). The chemical component was analyzed on a micro-Raman spectroscope (JY-HR800, 532-nm wavelength YAG laser).

3. Results and discussion

3.1 Powders formation

The BiVO₄ samples were produced by the hydrothermal method and followed by annealing at 600°C. **Figure 1** shows the XRD patterns of BiVO₄ powders synthesized under different conditions. The difference in the XRD patterns between tetragonal and monoclinic BiVO₄ can be mainly judged by the existence of peaks (200) and (121) and the existence of a peak at 15°C and the split of peaks at 18.5°C and 35°C. The percentage of monoclinic phase can be given by the following equation:

$$\eta_{\text{mono}} = \frac{I_{\text{mono}(121)}}{I_{\text{mono}(121)} + I_{\text{tetra}(200)}}$$

Where η_{mono} denotes the percentage of the monoclinic phase, $I_{\text{mono}(121)}$ is the relative intensity of the (121) peak, and $I_{\text{tetra}(200)}$ is the relative intensity of the (200) peak.

As shown in **Figure 1(a)**, BiVO₄ is monoclinic (PDF 75-1866) for the samples (c, d and e)^[42]. As the pH decreases to 6 and 4, the tetragonal BiVO₄ appears (PDF 14-0133)^[43]. These results indicate that the dissolution and the recrystallization happening in the low pH solution contribute to the phase transformation of the monoclinic type into the tetragonal type. The monoclinic BiVO₄ is thermodynamically more stable than that of tetragonal BiVO₄ at room temperature, and a sudden decrease in pH can affect the structure and morphology of powders resulting the

formation of the tetragonal BiVO₄^[44].

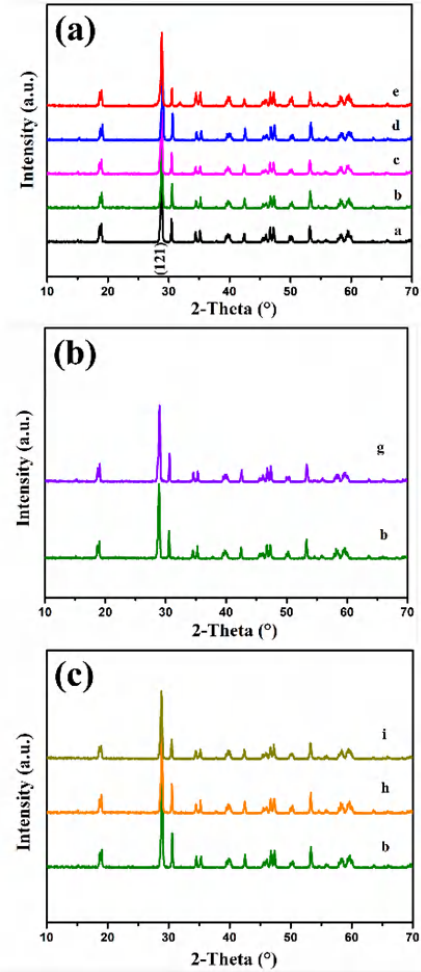
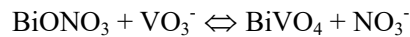
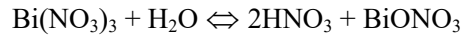


Figure 1. The XRD pattern of powders obtained from different conditions: (a) different pH values. (b) different heating temperature. (c) different heating time.

Similarly, as shown in **Figure 1(b)**, the samples (g and b) synthesized at 200°C and 180°C are monoclinic BiVO₄. As the temperature decreases to 160°C, the tetragonal BiVO₄ appears. Therefore, the BiVO₄ phase transition can be changed from monoclinic to tetragonal by adjusting preparation conditions. And the stable BiVO₄ is mainly related to the following chemical reactions^[3]:



At the beginning, the reactants are soluble in concentrated nitric acid without precipitate. Bi(NO₃)₃ reacted gradually with water to form slightly soluble BiONO₃. And then, the newly formed BiONO₃ re-

acted with VO_3^- , forming yellow BiVO_4 powders. Further, the samples without the high temperature annealing are a mixture of tetragonal and monoclinic BiVO_4 . In **Figure 1(c)**, the samples (b, h and i) with different structure transit into monoclinic structure after high temperature annealing, indicating that BiVO_4 change from the tetragonal phase to the mono-

clinic phase by using high temperature annealing. **Table 1** shows the preparation conditions and percentage of monoclinic BiVO_4 powders (η_{mono}) of different samples, and the value of η_{mono} for each sample is 100%, which is accordance with the result in **Figure 1(c)**. The detailed parameters of these samples from different conditions are shown in **Table 1**.

Table 1. The preparation conditions and percentage of monoclinic BiVO_4 powders (η_{mono}) of different samples

Preparation conditions	a	b	c	d	e	f	g	h	i
pH values of precursor	4	6	8	10	12	6	6	6	6
Heating temperature ($^{\circ}\text{C}$)	180	180	180	180	180	160	200	180	180
Heating time (h)	8	8	8	8	8	8	8	4	10
η_{mono} (%)	100	100	100	100	100	100	100	100	100

3.2 Morphologies and structure of the BiVO_4 samples

The chemical composition and molecular structure of the BiVO_4 samples were analyzed by Raman spectra as shown in **Figure 2** and **Table 2**. An intense peak near 828 cm^{-1} is assigned to the symmetric V-O stretching mode, and a weak shoulder near 707 cm^{-1} is assigned to the anti-symmetric V-O stretching mode. The short peaks located at 367 cm^{-1} and 324 cm^{-1} are assigned to the symmetric and the anti-symmetric banding modes of vanadate anion, respectively. The peaks near 210 cm^{-1} are assigned to the external modes (rotation/translation). With the increase of the precursor pH, these Raman peaks shift towards the lower wavenumber, which reveals that the short-range symmetry of the tetrahedral VO_4 becomes more regular^[37]. Raman spectra are sensitive to the short-range order, the degree of crystallinity and so on. Therefore, Raman spectra embody the variation of preparation conditions. However, the Raman spectra of BiVO_4 samples prepared under different pH values change a little (**Figure 2**), which indicates the effects on the morphologies and structures of the samples can be eliminated by annealing at high temperature. These results are in accordance with the conclusion as shown in the XRD patterns. The strongest peak is located at the larger wavenumber and the value of the full width at half maximum is lower, indicating that the symmetry of tetrahedral VO_4 and the degree of crystallinity would be better after annealing treatment.

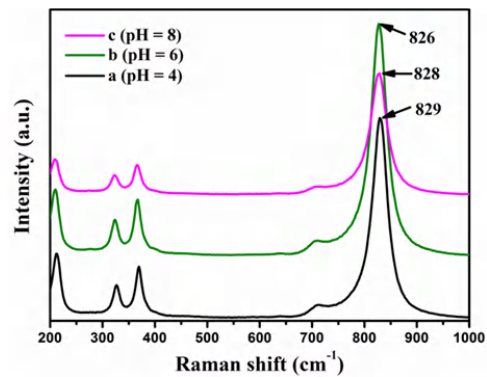


Figure 2. Raman spectra of the BiVO_4 samples prepared under different conditions.

Table 2. Assignment of Raman wavenumbers and specific surface areas observed from the samples obtained by hydrothermal method

Assignment	Sample a (cm^{-1})	Sample b (cm^{-1})	Sample c (cm^{-1})
$\nu_s(\text{V—O})$ (FWHM)	829 (36)	827 (35)	829 (38)
$\nu_{as}(\text{V—O})$	711	709	712
$\delta_s(\text{VO}_4^{3-})$	369	367	366
$\delta_{as}(\text{VO}_4^{3-})$	326	324	322
External mode	212	210	210
A_{BET} ($\text{m}^2\text{ g}^{-1}$)	2.57	2.65	2.59

Figure 3 shows the micrographs of the BiVO_4 samples prepared under different conditions. The samples present the similar morphologies of the peanuts-like particles after high temperature annealing corresponding to the analysis above. Nevertheless, it can be observed the difference between particles size and intensive degree. The results indicate the effects of reaction conditions on the structure and morphologies of the BiVO_4 samples can be eliminated by annealing, but these conditions still influence the particles size. The different specific surface areas of the

different samples are summarized in **Table 2**. The result also can reflect in SEM micrographs.

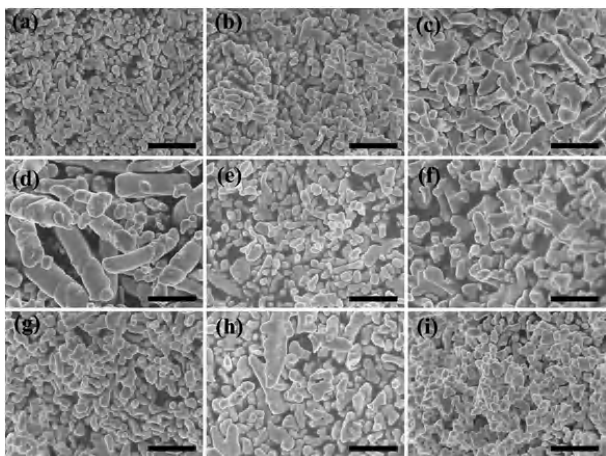


Figure 3. SEM images of the BiVO_4 samples prepared under different conditions, (a)-(i) corresponds to samples a-i in **Table 1**, respectively. The scale bar is the same for all 2 μm .

3.3 Photocatalytic activities

The photocatalytic activities of BiVO_4 samples were measured by the degradation of MB with a major absorption band at about 664 nm in a liquid medium under light irradiation.

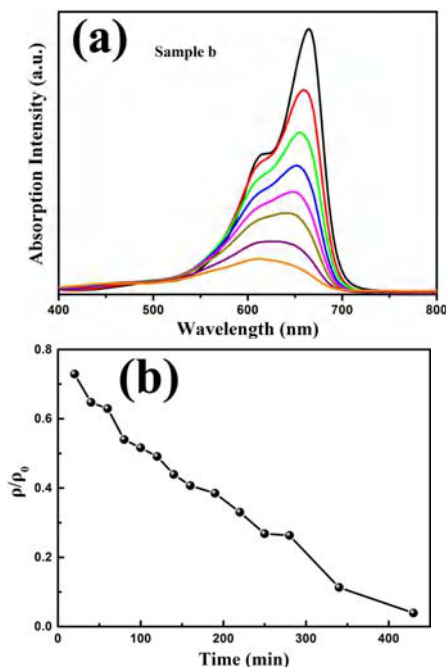


Figure 4. (a) UV-vis absorption spectrum of a stirred MB aqueous solution with the addition of sample b. (b) The photocatalytic degradation of MB in the presence of sample b with only visible light irradiation.

The temporal evolution of the spectral changes of MB mediated by the sample b, as shown in **Figure 4(a)**, exhibits that the absorption peak at 664 nm decreases gradually with irradiation time. Also the absorbance values of MB in the whole spectrum range from 400 to 800 nm are gradually reduced with increasing irradiation time, revealing the effectiveness and completeness of the photo-degradation of pollutants. **Figure 4(b)** presents the effect of degradation curve for the sample b under visible light irradiation ($\lambda > 420 \text{ nm}$), using a 500 W Xe lamp with a 420 nm cut-off filter. It can be observed that the monoclinic BiVO_4 has the ability of direct photolysis of MB as the increase of irradiation time.

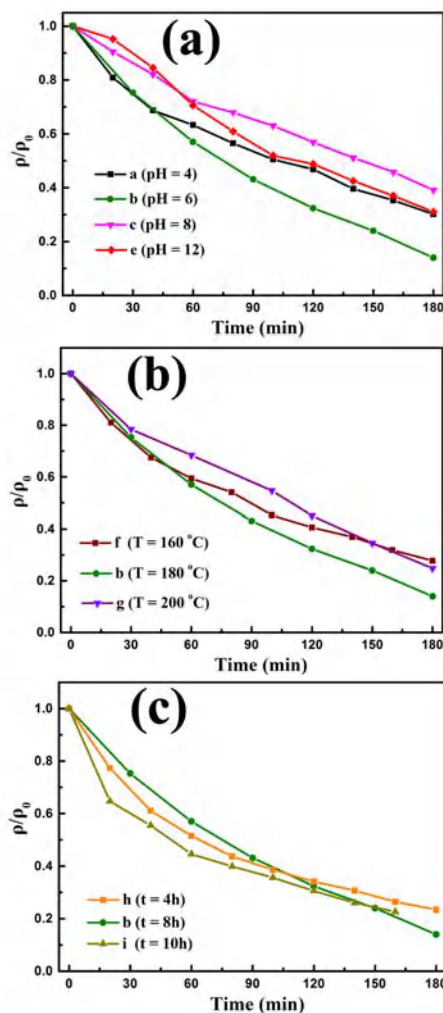


Figure 5. The photocatalytic degradation of MB with only visible light irradiation in the presence of different samples prepared under different conditions: (a) different pH values; (b) different heating temperature; (c) different heating time.

Figure 5 shows the photocatalytic activities of different samples fabricated under different conditions. These curves reveal that the concentration of MB is a function of the irradiation time for different samples. Where ρ_0 is the initial concentration of MB solution, ρ is the concentration of MB solution under light irradiation with t min. After light irradiation with 180 min, the concentration retention of MB degraded by different samples (a, b, c, e, f, g, h and i) are 29%, 14%, 39%, 31%, 28%, 25%, 23% and 22%, respectively. Obviously, the sample b (pH=6, heating temperature is 180°C for 8 h) is with the best photocatalytic activity, which is attributed to its wider bandgap.

In the heterogeneous catalytic reaction with plenty reactant, if the density of activity center on the catalyst surface is constant, the catalyst with the bigger specific surface will have the greater activities^[19]. The specific surface area of photocatalyst is an important factor to decide photocatalytic reaction caused via photoinduced electron-hole pairs on the surface of photo-catalyst^[18]. Therefore, the peanuts-like BiVO₄ nanoparticles have better photocatalyst activities than ordinary particles due to obvious quantum size effect, large specific surface area and high diffusion rate of photo-generated carriers^[4,19]. Moreover, the wider photo-absorption range and better crystallinity caused by calcination effect are also favorable for its enhanced photocatalytic activity, resulting in the excellent photocatalytic performance of BiVO₄.

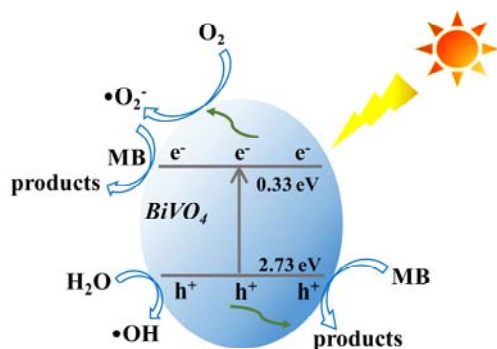


Figure 6. The schematic diagram of charge separation and photocatalytic process of the BiVO₄ photocatalysts under visible light irradiation.

Based on the reactive species trapping experi-

ment results, a proposed mechanism for MB photocatalytic degradation by BiVO₄ photocatalysts is shown in **Figure 6**. The band gap of BiVO₄ is 2.4 eV^[45]. Under visible light irradiation, the photo-generated electrons are occurred in the conduction band of the BiVO₄, photoinduced holes (h^+) are generated by the photoinduced transfer of electrons (e^-) in the BiVO₄, leading to an effective separation of the photo-generated electron-hole pairs. Along with the separated electrons and holes, the e^- are scavenged by oxygen to form $\bullet O_2^-$ radicals, which can degrade MB effectively. Meanwhile, most of the h^+ can oxidize MB to its degradation products directly, and little further contact with H₂O to produce hydroxyl ($\bullet OH$) radicals.

4. Conclusion

BiVO₄ has been synthesized successfully under different reaction conditions. And the fabricated BiVO₄ is the mixture of tetragonal and monoclinic BiVO₄ with different mix proportion. By adjusting the preparation conditions, the BiVO₄ phase changed from monoclinic phase to tetragonal phased. After high temperature annealing, the tetragonal phase all convert into the monoclinic phase. However, the different reaction conditions still affect the particles size and specific surface area of BiVO₄ samples. Moreover, it can be found that the photo-catalytic activities can be decided by the particles size and specific surface area of the samples.

Acknowledgments

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ORIGINAL RESEARCH ARTICLE

Effect of multiple laser shock processing on nano-scale microstructure of an aluminum alloy

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ABSTRACT

In this study, nano-scale microstructural evolution in 6061-T6 alloy after laser shock processing (LSP) was studied. 6061-T6 alloy plate was subjected to multiple LSP. The LSP treated area was characterized by X-ray diffraction and the microstructure of the samples was analyzed by transmission electron microscopy. Focused Ion Beam (FIB) tools were used to prepare TEM samples in precise areas. It was found that even though aluminum had high stacking fault energy, LSP yielded to formation of ultrafine grains and deformation faults such as dislocation cells, stacking faults. The stacking fault probability (PSF) was obtained in LSP-treated alloy using X-Ray diffraction. Deformation induced stacking faults lead to the peak position shifts, broadening and asymmetry of diffraction. XRD analysis and TEM observations revealed significant densities of stacking faults in LSP-treated 6061-T6 alloy. And mechanical properties of LSP-treated alloy were also determined to understand the hardening behavior with high concentration of structural defects.

Keywords: Laser Shock Processing; Microstructure; Deformation; Stacking Fault

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1. Introduction

Laser shock processing (LSP) is an innovative surface enhancement technique which has been used generally to improve mechanical performance of metallic components. LSP is a method of imparting compressive residual stress under laser shock amplitude loading^[1]. Fatigue strength and fatigue life of a metallic material can significantly increase due to the presence of compressive residual stress in the material^[2,3]. Also, the generated shock wave can induce severe plastic deformation in the specimen where the shock wave is effective^[4,5]. The increase in hardness and yield strength of metallic materials is attributed to high-density dislocation arrays and the formation of twins owing to the shock wave^[6-8]. Consequently, high-density dislocations and grain refinement mechanisms are induced in the laser-shocked near-surface microstructure of the metal.

Al-Mg-Si alloys are desirable in aerospace, automotive and shipping industries. Specifically, the 6061-T6 alloy has found widespread application due to a combination of high mechanical strength, low density, and good corrosion resistance^[9,10]. It has been reported that compressive residual stress has been observed in 6061-T6 alloy samples under high-strain rate and ultra-high pressure, resulting in considerably improved tribological, fatigue and mechanical properties^[5,10-14]. Recently, characterization studies at the nano-scale have become crucial in analyzing the microstructure induced by LSP. The mechanism

for microstructure evolution has a great importance in determining mechanical characteristics, because changes in mechanical characteristics can be understood in regard to microstructure. The sub-structures which create details of the microstructure are influenced by dynamic responses of the material due to shock stress loading^[15]. Forming a nano-structure in a polycrystal results in the generation of dislocations, sub-grain structures, dislocation cells and stacking faults (SFs). In severe plastic deformation, the dislocation activity and stacking fault formation mechanism depends on the lattice structure and stacking fault energy probability (P_{SF})^[9,16,17]. Chen *et al.*^[18] found twin grains with SFs and breaking of large grains into smaller grains in the laser shocked area of 2Cr13Mn9Ni4 austenitic stainless steel. Ye *et al.*^[19] found that dislocations were the dominant deformation modes in laser shocked copper foil and they propounded that SFE plays an important role in plastic deformation under conditions of ultrahigh strain rate. He *et al.*^[6] noted that high density dislocation lines developed in the original grains caused by the shock wave at high strain rate during LSP.

However, most of the research was concentrated on the residual stress distribution versus depth and fatigue properties in components induced by LSP. The dynamic material response under compressive shock loading has a key role in terms of microstructural features. Microstructural changes at the nano-scale, the mechanism of grain refinement, and the underlying reasons for them have been discussed in previously mentioned reports in very few studies.

The aim of the present study is to investigate nano-scale characterization of LSP-treated materials using transmission electron microscopy (TEM). The grain refinement process under plastic deformation is revealed by considering the SFE of the material. In this regard, deformation mechanisms of LSP-treated materials, such as stacking faults, dislocation configuration and ultrafine grain formation, are investigated. Therefore, in this study, the strengthening mechanism was analyzed quantitatively by X-ray line profile analysis method and supported by TEM observa-

tions.

2. Experimental procedures

2.1 Principle and experimental procedure of LSP

The LSP parameters were typically selected to achieve a high power density^[21]. Laser power density obtained in our previous experiments^[5] was about 16.025 GW/cm². The laser pulse was directed through the transparent overlay and interacted with the opaque overlay and a plasma generated on the target material. The opaque overlay protected the sample surface from the thermal effect. The plasma was confined by the transparent overlay which was called “confined ablation”^[3,11]. The hydrodynamic expanded plasma in the confined medium between the target material and the confining layer generates a high-pressure pulse. Therefore, a shockwave propagates into the material and the confining medium. When the magnitude of the shock wave exceeds the dynamic yield strength of the metal, plastic deformation occurs in the near surface of the metal^[3,4,21]. Plastic deformation modifies the microstructure of specimen in the region affected from shock wave.

2.2 Experimental material and processing parameters

6061-T6 alloy was used in the present work. The thickness of material was 2 mm. The chemical composition of 6061-T6 alloy was shown in **Table 1**. Prior to LSP treatment, the samples were cleaned ultrasonically in ethanol to degrease their surfaces. And then, the specimens were covered with opaque black tape. They were immersed in a water of which the thickness above the target was 2 mm which is called confining medium. The LSP experiments were performed using a pulsed Nd:YAG laser operating at a repetition rate of 10 Hz, a wavelength of 1064 nm and a pulse duration of 6 ns. Laser beam spot diameter on the target was set to 1 mm. The overlapping rate was kept 50% between two laser-beam spots. The LSP procedure was performed in single LSP impact and double LSP impacts. The processing parameters used in LSP were shown in **Table 2**. During LSP, the target was operated in x and y-axis.

Table 1. Composition of 6061-T6 alloy

Si	Fe	Cu	Mn	Mg	Cr	Ni	Zn	Ti
0.62	0.46	0.29	0.09	0.88	0.17	0.01	0.04	0.05

Table 2. The processing parameters used in LSP

Type	Value
Pulse energy (mJ)	750
Spot size (mm)	1
Repetition rate (Hz)	10
Pulse duration (ns)	6
Laser wavelength (nm)	1064
Overlapping rate (%)	50

2.3 Microstructural observations

The microstructure of LSP-treated area of the samples was analyzed by transmission electron microscopy-TEM (FEI Tecnai G2 F30). The right observation within the material depended on significantly TEM specimen preparation technique. Focused Ion Beam (FIB) tools were used to prepare TEM samples in precise areas. The classical techniques for preparing TEM samples are not suitable for the analysis of a specific micrometric area in the specimen^[22]. If the classical TEM samples preparation techniques were preferred, the dislocation densities and the dislocation evolution might alter in material microstructure. Therefore, this kind of process was avoided in particular in this work and so FIB was used as sample preparation method.

In TEM specimen preparation process, lift-out is a technique whereby membrane is produced in a thin sample, then cut free using the FIB and removed for stand-alone examination in the TEM. The procedure composes of four steps:

(1) A particular region was located by SEM. During milling which was subsequent step, to protect the investigated feature, platinum, tungsten or other weighty metal layer in order to protect it from deep damaging due to ion irradiation during milling was deposited on the area of interest.

(2) The material surrounding the platinum strip was removed by FIB milling and so a lamella which was performed on thin specimens transparent to electrons was left intact.

(3) A micromanipulator needle was fixed to the sample thanks to a platinum welding and then the lamella was cut free and lifted out of the milled cavity.

(4) The sample was placed in a TEM grid as

so contact to the platinum weld, then the connection between lamella and needle was cut^[22,23].

The samples of LSP-treated and un-treated were prepared by FIB/SEM (FEI Nova 600i Nano-lab) in attempt to analyze in depth 10 micron and they were made ready for TEM examinations.

2.4 X-Ray diffraction analysis

X-ray diffraction measurements were carried out using the Philips X'Pert Pro equipped with a Cu-K α radiation source whose wavelength was 1.542Å. X-ray penetration depth is an order of 50 μ m. The broadening and position shifts of the full-width at half maximum (FWHM) of the α -Al {311} X-ray diffraction peak were considered to obtain the dislocation density, lattice strain, and stacking fault probability. The dislocation density values were obtained from our former study^[21]. The lattice strain and the stacking fault probability measurement and calculation were realized on a certain plane in this study, the peaks of the α -Al (311) lattice plane is recommended in X-ray diffraction technique for aluminum alloys because this plane is the intense peak plane from X-ray diffraction data^[24]. Lattice strain was calculated by Scherrer equation^[25]. This procedure was reported well documented in our previous work^[5]. The stacking fault energy γ is inversely proportional to stacking fault probability (P_{SF})^[26] and the stacking fault probability was determined by following equation developed by Noskova and Pavlov^[27]:

$$P_{SF} = \frac{G a_0^2 d \rho}{24 \pi \gamma}$$

Where the stacking fault energy γ consists of the contribution of the stacking fault probability P_{SF} , shear modulus G , lattice constant a_0 , lattice space of determined crystal plane d , dislocation density ρ .

2.5 Measurements of micro-hardness and tensile properties

The hardness properties of LSP-impacted alloy were investigated by micro-hardness measurement using Vickers-indenter. The tests were

carried out under 50 g load and 10s of the hold time. The hardness measuring was performed on the metal surface. Tensile properties were determined using a Shimadzu testing machine and by a video extensometer. The tensile tests were carried out at a room temperature and a displacement rate 1 mm/min.

3. Results and discussion

Micrographs obtained from TEM examinations, which were performed to investigate the LSP-treated and un-treated 6061-T6 alloy prepared by the FIB technique, are given below.

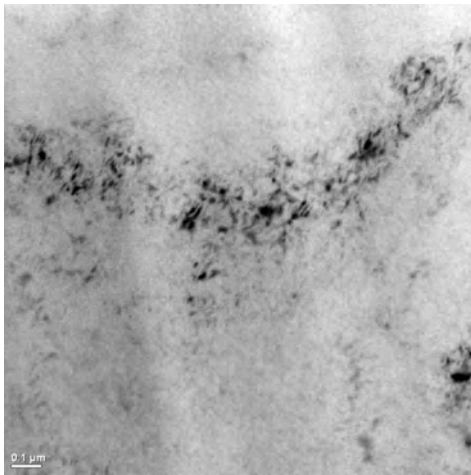


Figure 1. The TEM images of un-treated 6061-T6 alloy: low dense dislocation line.

The TEM images of untreated alloy are shown in **Figure 1**. Low-density dislocations are clearly seen. There are low density defects in the microstructure. **Figure 2** shows TEM images which were obtained from single LSP impact. LSP created stacking faults (SF) can be seen in (**Figure 2**). SF is a defect type which affects the mechanical properties of crystalline materials and is commonly seen in materials with low stacking fault energy (SFE)^[28]. SFs can be formed during crystal growth, due to acts of partial dislocations during plastic deformation or as a result of separation of total dislocations into two partial dislocations. The dislocation separation distance is related to the magnitude of the SFE. SFs can also be generated by the excess point defects produced in severe plastic deformation or high-strain rate deformation or laser irradiation^[28].

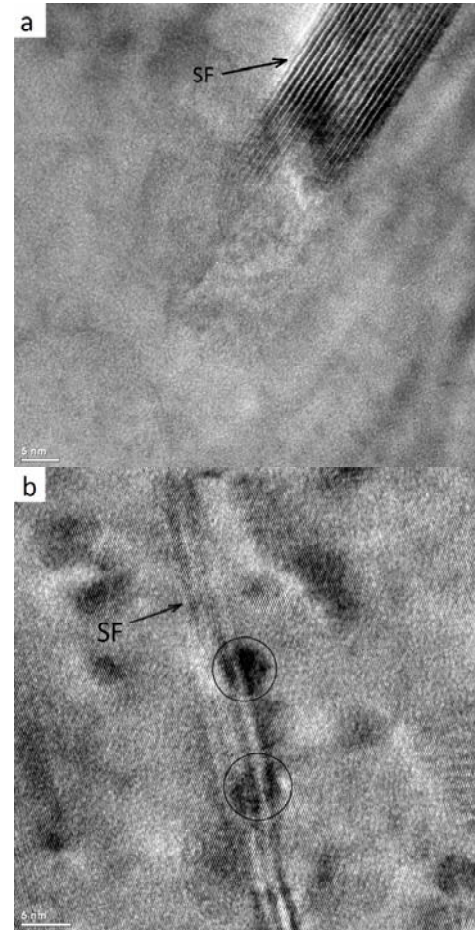


Figure 2. The TEM images of stacking faults in one-LSP impact alloy (a) and (b).

SFs are local regions of incorrect sequence of crystal planes associated with the presence of partial dislocations. SFs originate from dissociation of dislocations to reduce the elastic energy of the crystal^[29]. If the local region formed as a result an incorrect sequence is of a few interatomic distances, it is called a “stacking fault”, and if it is of numerous interatomic distances, it is called “twinning”. While twinning is a dominant deformation mechanism in materials with low-SFE (e.g. copper, brass), it can be rarely formed in materials with high-SFE such as aluminum. Laser shock processing can create a large amount of SFs in 6061-T6 aluminum alloy due to ultra-high plastic strain. In **Figure 2(b)**, multiple dislocation cores interact with the SFs. The indicated regions in **Figure 2(b)**, which are shaped like dark circles, are trapped dislocations. The trapped dislocations indicated with circles intercept the SF plane.

When **Figure 3** was observed, it was seen that the dislocations were organized and these well-organized dislocations created the dislocation

cell walls. Ren *et al.*^[30] observed band-like dislocation walls in TEM observations which performed in LSP GH2036 and they reported that the dislocation walls coincident with slip planes. When **Figure 3(a)** and **3(b)** were examined, the dislocation walls having tangled dislocation boundaries attracted the attention in the single LSP impacted 6061-T6 alloy and also looked band-like. The dislocation cell formation notably reduces the stored energy in deformed crystallites^[31]. For this reason, the high energy induced by the LSP into the material tends to create dislocation cells.

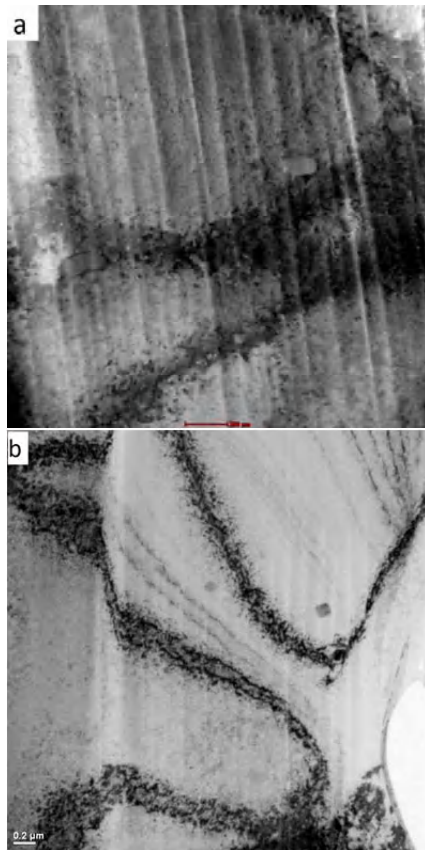


Figure 3. The TEM images of the well-organized dislocation cell walls and subgrain structures.

It was observed that dislocation tangled zones occurred and heavily deformed subgrain structures derived from dislocation cell structures induced in laser shock processing. Shin *et al.*^[32] stated that cell boundary can originate from severely tangled dislocations and therefore subgrains induced. It was seen that the subgrains of which size is about 300-800 nm in **Figure 3(a)**. And also, when the cell structure was observed, it was realized that the high density dislocations existed at the boundary but the low density disloca-

tions observed inside. The severe plastic deformation induced by LSP caused the dislocation piling and induced heavily tangled dislocation lines and consequently, dense dislocation walls occurred. The white lines which are seen in **Figure 3** are certain effect and originated from FIB preparation process.

Lu *et al.*^[33], Wang *et al.*^[34] and Liu *et al.*^[35] argued that the subgrain boundaries developed by dislocation transformation. It is known that the stacking faults formed among partial dislocations in FCC metals^[36]. Sun *et al.*^[37] stated that there were two factors governing the grain refinement: stacking fault energy and slip system numbers. Lu *et al.*^[38] remarked that the dislocation cell formation in the metals which have medium SFE results in grain refinement. In high SFE metals, dislocation walls and tangles formation leads to the refinement mechanism but SF formation is difficult. In consequence, plastic deformation behavior of the materials depends strongly on the SFE^[38]. During severe plastic deformation, the point defects and SFs in the crystal interact with each other and new atoms move on SF region. Therefore, new atoms located and grouped in the SF and so stacking fault energy is decreased, and partial dislocations were opened and resulted in stacking fault ribbon widening^[36].

The deformation microstructure of face-centered cubic materials is complex. Many grains in face-centered cubic materials have sharp grain boundaries and non-uniform sized grains^[35]. It was also seen that organized dislocations and dislocation cells formed in **Figure 4**. Dislocation cells corresponded to sub-grain formation in the TEM investigations. Growth of cellular microstructure is formed by separation from organized dislocations^[39]. The term “cell” usually refers to small volumes formed by diffuse dislocation boundaries, and also the term “subgrain” refers to bounding more organized dislocations. Dislocation cells which organized after deformation correspond to the “subgrain”. Comparing with untreated alloy, it is obvious that the dislocation arrangements in the networks become more complex and tangled. High-density dislocation lines, a dislocation network and a dislocation wall which

corresponds to a sub-grain boundary can be clearly seen in one-impact LSP. Stacking faults can be formed by the homogenous mechanism enhancing the possibilities of the material to respond to dynamic loading. Severe plastic deformation formed obvious stacking faults while aluminum has high SFE.

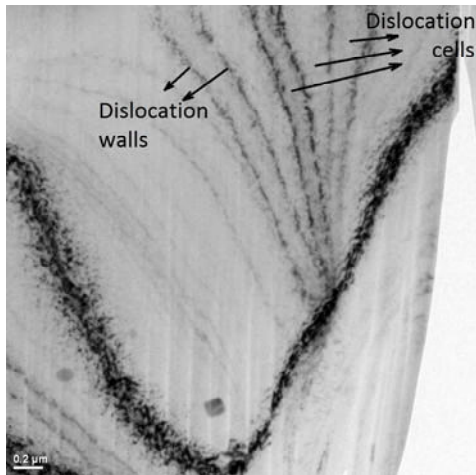


Figure 4. The TEM images of the organized dislocation and dislocation cells in single LSP impact alloy.

The TEM images of double-LSP impact alloy were given in **Figure 5**. Nano grain with size of 40-50 nm which contains trapped dislocations (white arrows) is seen in **Figure 5(a)** and **Figure 5(b)** shows both ultrafine grains with size of 200-300 nm and nano-grain having size of about 50 nm. This TEM image showed that ultrafine grains can be deformed by dislocation motion. The ultra-fine grains and nano-grains have darker contrast in comparison to micro-sized grains. Because the ultrafine size leads to randomly oriented grains, therefore, allows the variations in dynamical diffracting conditions^[31,40]. The ultrafine grains contain high internal stress and this situation gets elastic distortions in crystal lattice^[31]. Higher density dislocation regions especially induced by severe plastic deformation are in accordance with higher mis-orientations. The sub-grain boundaries disturb the deformation coordination and higher density dislocations accumulated and rearranged, thus, lower angle subgrain boundaries induced by plastic strain increasing. Finally, finer grains are formed^[41].

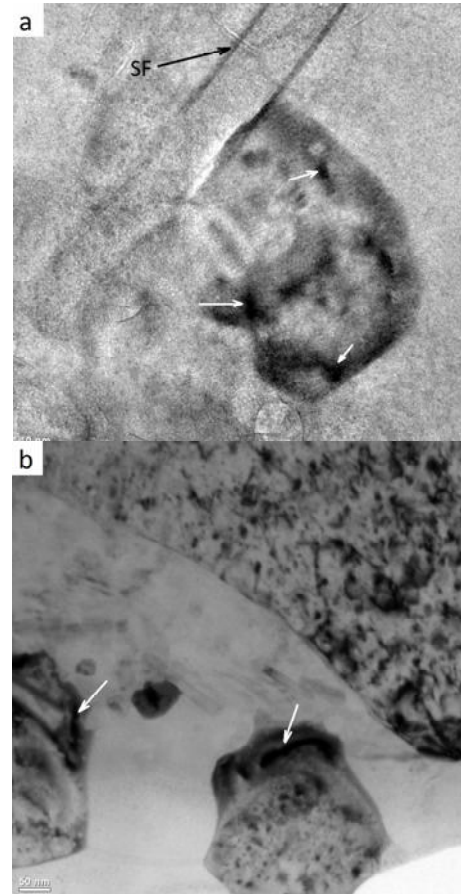


Figure 5. TEM micrograph of trapped dislocations in a nano-grain: (a) nano-grains and ultrafine grains, (b) in double LSP impact alloy.

After double LSP impact, rearrangement of dislocations occurs and leads to newly ultrafine grains. Local distortions in terms of trapped dislocations are clearly visible in the TEM micrograph. The main cause for the locally distributed/trapped dislocations is associated with the energy stored during plastic deformation at nano-scale in the lattice structure^[42]. Therefore, the short duration of plastic deformation under the localized load is responsible for the dislocation trapping in the nano-scale structure. The development of the dislocations during LSP process was clearly seen in **Figure 6**. Low-density dislocations in high magnification attract the attention for un-treated alloy in **Figure 6(a)**. Tangled and dense dislocation arrangements were seen in the laser shocked region (**Figure 6(b)** and **(c)**).

Comparing the microstructures, the one-LSP impact treated specimen presents some dislocations which have discrete structure and are small in length and the double LSP impact treated specimen presents the distinctively different char-

acteristics which are longer and more complex in shape and it has dislocation nodes.

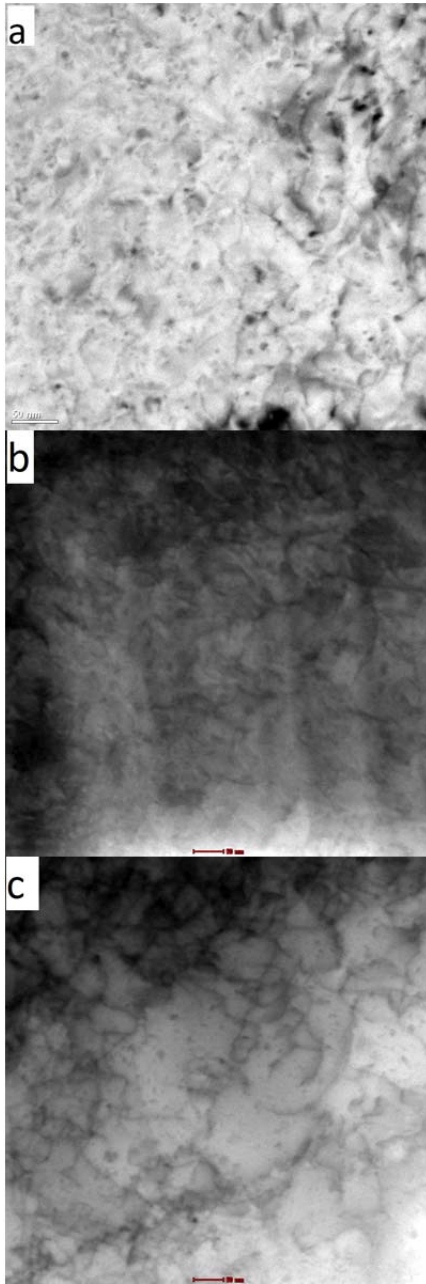


Figure 6. TEM image of dislocations : (a) un-treated alloy, (b) single-LSP impacted alloy, (c) double LSP-impacted alloy.

The tangled dislocations were also observed to dissociate into partial dislocations. Chen *et al.*^[43] found that the deformation generated adjacent local areas with different orientations when the metal was exposed to plastic deformation. In consequence of laser shock processing, crystallites which had low-angle orientation boundaries were generated in the near-surface regions. Thus, nano-grains with different orientation were produced inns-pulsed laser experiments. Formation of nano-

crystalline structures in aluminum alloy after laser shock processing broadly was investigated by X-ray line profile analysis in our previous work^[21].

Figure 7 shows the diffraction peak profiles of (311) for untreated, single LSP impacted and double LSP impacted alloy and this diffraction profiles were received from our previous work^[21]. Peak broadening and shifts are clear as a result of laser shock processing. Line broadening analysis of XRD peaks explores the crystallite size which for samples with lattice faults^[21]. Moreover, the peak broadening analysis was also used to determine the stacking fault probability (P_{SF})^[44-46]. The peak shift and broadening were affected from randomly oriented crystallites with small faulting probabilities^[44]. The Bragg's law and equation (1) were used for calculations and the values of d-spacing, stacking fault probability and lattice strain for all the samples were listed in **Table 3**. The values of dislocation density in **Table 3** received from our previous work^[21]. The deformed material during laser shock processing, and the non-uniform strain happened in the crystal lattice led to a broadening in FWHM of X-ray diffraction peak. The lattice strain is defined as the increment of inter-planar spacing and as a function of the exposed strain^[44]. Moreover, lattice strain can be expressed as micro-strain of the lattice deformation^[47]. According to **Figure 7**, lattice strains considerably affected the diffraction peak profiles as a broadening in FWHM after LSP. As it is known, high strain rate deformation causes lattice distortion and work hardening. Lattice distortion brings the dislocations and new grain boundaries^[48].

In low SFE materials, stacking faults and twin formation play an important role in the formation of nanostructures and ultrafine grains^[49-52]. Coarse grained FCC metals with high stacking fault energy such as aluminum is anticipated to deform by dislocation slip. However, when the material was deformed in high strain rate deformation condition, the stacking fault and twin formation conditions have improved. At the same time, the high strain rate deformation condition contributed to nano-crystalline formation. Shock deformation has been found to produce twins and

stacking faults in medium-high stacking fault energy alloys^[53].

Table 3. X-ray line profile analysis results of 6061-T6 alloy

	2θ of (311) plane ^[21]	Dislocation density, ρ_{dis} (10^{14} lines/m ²) ^[21]	Lattice strain, ϵ	d-spacing (nm)	Stacking fault probability, P_{SF}
Un-treated	78.166	7.367	0.089	0.78774	0.02183
Single LSP impact	78.100	18.437	0.141	0.78793	5.4668
Double LSP impact	78.034	19.092	0.143	0.78812	5.6624

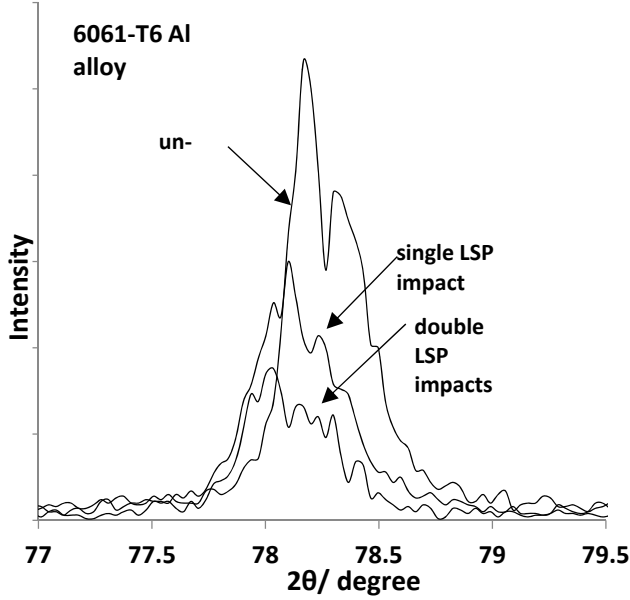


Figure 7. X-ray diffraction patterns (311) of 6061-T6 alloy subjected to various LSP impacts^[21].

At ultra-high strain rate, the energy required for the motion of a perfect dislocation is higher than that required for the motion of two partial dislocations formed by the decomposition of a perfect dislocation. As it is known, the formation of the stacking faults is inversely depended on the stacking fault energy. Therefore, low SFE and high stacking error probability lead to the formation of a large number of stacking errors^[18]. Wert *et al.*^[54] stated that the particle size decreased with decreasing SFE, while the stacking fault probability increased.

Figure 8 shows the variation in the stacking fault probability (P_{SF}), lattice strain (ϵ), and dislocation density (ρ_{dis}) as a function of process parameters. As shown in **Figure 8(a)**, the dislocation density was $7.367 \times 10^{14} \text{ m}^{-2}$ for the untreated alloy. The dislocation density value strongly increased via laser shock processing. It was reached the ρ_{dis} value of $19.092 \times 10^{14} \text{ m}^{-2}$ with double shot LSP impact. **Figure 8(b)** shows the evolution of the lattice strain (ϵ) increased after LSP treatment. The increase in ϵ indicates that the severe lattice

distortion introduced during LSP yielded to rearrangement of dislocation. **Figure 8(c)** shows the evolution of the stacking fault probability (P_{SF}) increased after LSP treatment.

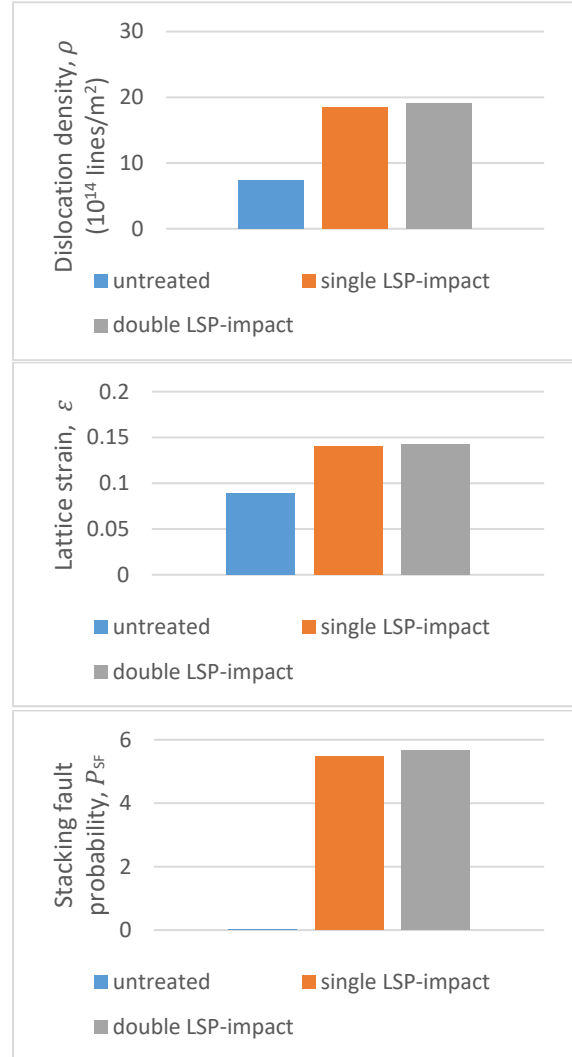


Figure 8. Plot showing the variation of (a) dislocation density, (b) lattice strain, and (c) stacking fault probability with process parameter for 6061-T6 alloy.

The stacking fault probability was also obtained from the peak asymmetry of (311) Bragg's peak. The stacking fault probability in 6061-T6 alloy increased sharply with LSP treatment. Thus, the increase in stacking fault probability in 6061-T6 alloy will decrease the SFE after high strain rate deformation^[55-56].

There are five main strengthening mechanisms in the metallic materials: solid-solution hardening, precipitation hardening, transformation hardening, dislocation hardening and grain refinement^[57-58]. The solid-solution hardening and precipitation hardening did not significantly affect the strength of the exposed specimen. The dislocation hardening and grain refinement brings a significant increase in the materials strength. When the stress applied to the material exceeds the yield strength, perfect dislocations both move and multiply. In alloys with low stacking fault energy, a perfect dislocation dissociates into two dislocations and two extended dislocations form. When two extended dislocations on the conjugate slip planes intersect each other, extended jogs and Cottrell \pm Lomer locks are formed. They prevent the movement of dislocations and so an increase in the strain hardening occurs. The lower the SFE is, the higher the stacking fault probability is, and the wider the stacking fault ribbons is, resulting in more difficult cross slip, increased strain hardening and prolonged linear hardening stage^[59].

Table 4. Mechanical properties of LSP impacted 6061-T6 alloy

Parameters	Surface hardness (HV _{0.05})	UTS (MPa)	YS (MPa)
Untreated	97	214	177
Single LSP-impact	113	316	280
Double LSP-impact	121	312	286

Examinations of the mechanical properties have indicated work hardening behavior of LSP impacted materials. When **Table 4** examined, mechanical properties have been improved effectively by LSP. After single LSP-impact, its surface hardness improved from 97 HV_{0.05} to 113 HV_{0.05} and after double LSP impact, improved to 121 HV_{0.05}. And also, after LSP treatment, UTS approximately increased 45%, and YS increased 60%. The mechanical properties of LSP after impact are not only due to the increase of stacking fault probability, but also due to the significant increase of dislocation density and lattice strain. High density dislocation arrangement and also new ultrafine grain structure reveal the strengthening mechanism. But in this study, it is not enough to explain the behavior of strengthening

with only grain refinement and high density dislocation. In the high strain rate process, LSP treatment increases PSF value (**Figure 8(c)**). The dramatic increase in stacking fault probability has triggered the formation of stacking faults. High P_{SF} is associated with a decrease in stacking fault energy. As it is known, partial dislocations were opened with SFE decreasing and the SFE formation was launched by the interaction between partial dislocations and point defects. The SFE of FCC metal was decreased, and the dislocation accumulation and stacking accumulation were decreased, and the work hardening behavior was improved^[38]. The dislocation accumulation, accumulated SFs and ultrafine grain structure provided the extra strengthening. These inferences are in good agreement with TEM observations. XRD line analysis is an effective method for the determination of SF, grain size, lattice strain and dislocation density^[5,57,60]. The SF strengthening, nanostructure and ultrafine grained microstructure were obtained after severe plastic deformation (SPD), equal channel angular pressing (ECAP), and high-pressure torsion (HPT)^[61-64]. In this study, sheet metal samples were exposed to high strain rate by LSP method which is applied to the industry and a significant strengthening behavior was induced by microstructural development of the material.

Figure 9 shows the stacking faults in atomic resolution. Liao *et al.* and Lee *et al.*^[65-66] reported the typical stacking faults in atomic level image. The SF width in **Figure 9(a)** was about 0.4 nm and in **Figure 9(b)** was about 0.7 nm. It is seen that the double LSP impact is responsible for the wide SF. The lowering SF energy led to a wider stacking fault and higher stacking fault probability. SFs interact with point defects in the crystal. During the mechanical reaction in the material through several plastic deformations, new atoms move towards SF region and so elastic-energy of the crystal reduces. This formation occurring between the partial dislocations causes a reciprocal push of the partial dislocations, which lowers the SFE, so that a widening of the SF band can be observed^[36]. Partial dislocations restricted by a stacking fault ribbon^[66].

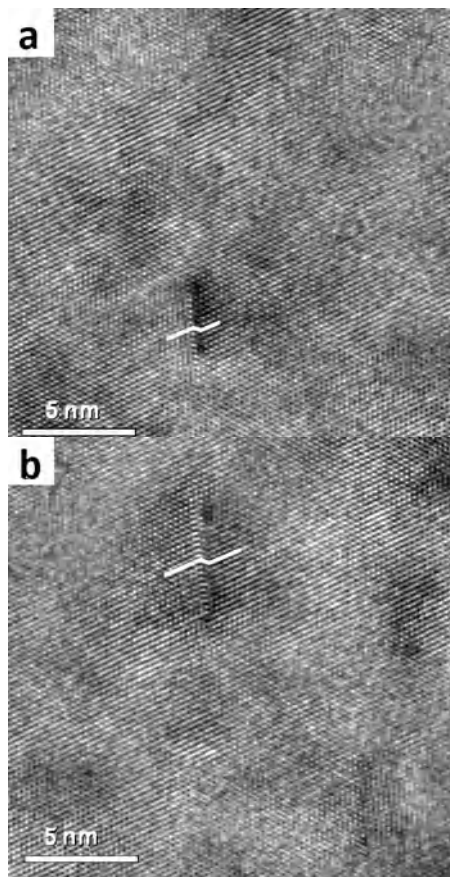


Figure 9. Atomic level image of a typical stacking faults after (a) single LSP impact and (b) double LSP impact.

In this study, stacking fault bands can be observed during laser shock treatment with high-strain rates, even if the material was a high SFE metal. Ye *et al.*^[19] reported that dislocation slip was the dominant deformation mode at small-strain conditions. However, under shock conditions, stacking faults and twins were formed besides dislocation slip. In terms of microstructural observations, dislocation cells, and stacking faults subdivide the initial coarse grain into randomly ultra-refined grains. High-strain rate and a short duration laser pulse play an important role in the formation of refined grains during micro plastic deformation by LSP.

4. Conclusions

The aims of the present work were to investigate the formation of dislocations, dislocation cell structures, lattice orientations and sub-structures at the nano-scale under shock loading. TEM investigations demonstrated the formation of stacking faults, dislocation arrangements and ultrafine grains. Multiple LSP impacts at high-strain rate

created more dislocations, which could generate stacking faults, nano-grain and ultrafine grain structures. The main results showed that the stacking fault probability significantly increased from 0.02183 to 5.6624 and dislocation density increased from 7.367 to 19.092 after LSP treatment. Microstructural parameters were also in good agreement with the results of X-ray line profile analysis. The short duration and high pressure effect of plastic deformation under the localized load lead to the trapping of dislocations in nano-scaled structures. The LSP results presented highly tangled and dense dislocations and dislocation cell-structures. It clearly showed the hardness increment in LSP treatment material. This increment resulted from grain refinement, dense dislocation structure and stacking faults. Experimental observations and evidence of the grain refinement mechanism demonstrated that a high-SFE metal which was deformed at high-strain rates could form ultrafine grains, and stacking faults.

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ORIGINAL RESEARCH ARTICLE

Hybrid magnetic materials based on polyethylene containing Co and Ni nanoparticles

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ABSTRACT

New hybrid magnetic materials based on HDPE filled with Co and Ni nanoparticles have been prepared via the metal vapor synthesis. Properties of the metal-polymer composites have been elucidated as a function of MVS parameters and metal nature. The Faraday method has been applied to characterize the magnetic properties of the systems. The microstructure of the samples has been studied with a number of X-ray and synchrotron techniques, including XRD, EXAFS and SAXS. Core-level and valence band spectra were measured by XPS. The peak at binding energy of 282.8 eV characteristic of C-Ni bond was recorded in the C 1s spectrum. It was shown that properties of nanocomposite materials with similar compositions are determined both by the synthesis conditions and post-synthesis factors.

Keywords: Hybrid Material; Magnetic Properties; HDPE; Cobalt; Nickel; Nanoparticles; Metal Vapor Synthesis; XPS

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1. Introduction

The metal vapor synthesis (MVS) is an efficient means of purposeful synthesis of nanosized mono-bimetallic particles as well as their derived composites. Modification of polymers with metal nanoparticles give rise to a complex of new functional properties, *e.g.*, magnetic, catalytic, antibacterial ones, *etc.*^[1-4]

In the vast majority of cases, processing of metal-filled polymers into target products imply an intense external action of temperature, high pressure, reactive chemicals, *etc.*

The present paper reports on the synthesis and characterization of composites derived from high-density polyethylene (HDPE) ($M = 2 \cdot 10^5$) filled with Co and Ni nanoparticles prepared by the MVS method. The presence of ferromagnetic species enables the use of the magneto-static measurements (*i.e.*, the Faraday method) to characterize their bulk magnetic properties^[5]. The structure of the materials is studied using X-ray and synchrotron techniques, *viz.*, X-ray diffraction (XRD), Extended X-ray Absorption Fine Structure (EXAFS) and Small Angle X-ray Scattering (SAXS)^[6].

2. Experimental

A typical MVS reactor^[1-4] has an evacuated volume of 5L. Nickel or cobalt samples (0.3-0.5 g) are evaporated into vacuum of 10^{-2} Pa with a W evaporator. Metal vapor is allowed to condense at the reactor walls cooled down to 77 K simultaneously with toluene (100-120 ml). Prior to the synthesis, toluene has been distilled over sodium under Ar

and further degassed in vacuo by several consecutive freeze-pump-thaw cycles. After the synthesis, the reactor walls are allowed to heat up and the metal-toluene ice is transferred right upon melting into jelly-like HDPE-toluene mixture. Metal-polymer composites have been prepared by two meth-

ods **A** and **B**, the respective conditions are detailed in **Table 1** and Scheme (See **Figure 1**). Magnetization measurements have been performed in Ar or in vacuum, using the Faraday method^[5] over a temperature range of 77-573 K at a magnetic field of 500-3200 Oe.

Table 1. Preparation conditions for metal-HDPE composites
a - stirring of suspensions with a magnetic stirrer (MS) under ultrasonication (US); b - in all cases the organic reagent is toluene

Sample	Metal	Amount of metal, wt %	Means of influence ^a (temperature, K)	Method ^b
1, 2	Co	3.5; 4.6	MS (363)	A
3, 4	Ni	1.4; 4.3	MS (363)	A
5	Co	2.5	US (185)	B
6, 7	Co	12.7; 20.0	MS (363)	A

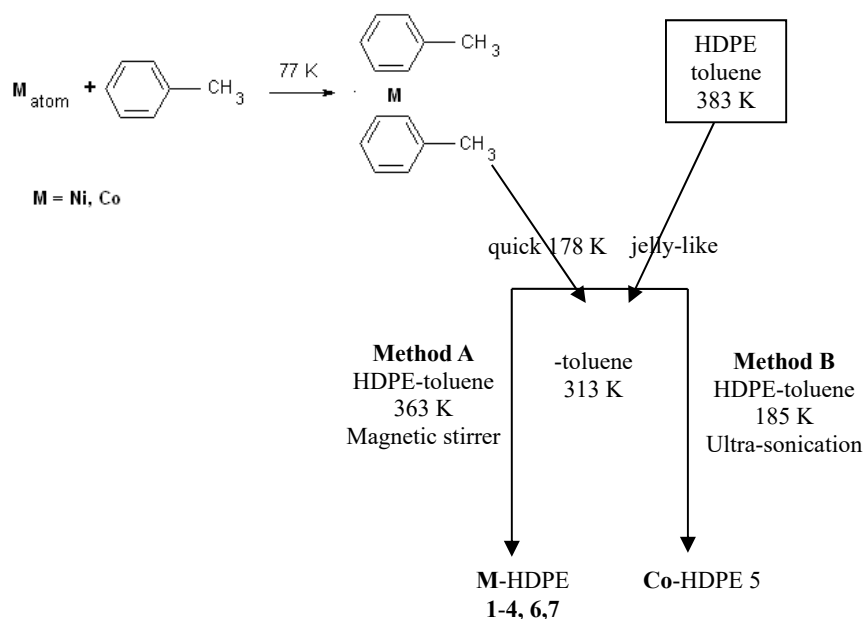


Figure 1. Scheme.

EXAFS and SAXS measurements have been performed at the Structural Materials Science station of the Kurchatov Centre for Synchrotron Radiation and technologies. For both techniques, the transmission geometry was utilized. X-ray beam was monochromatized with a Si(111) channel-cut monochromator. X-ray diffraction patterns were measured on a laboratory DRON-3 (Russia) diffractometer equipped with a graphite secondary-beam monochromator and NaI(Tl) scintillator counter, using $\text{Cu } K_{\alpha 1,2}$ radiation. TGA was carried out with a “Derivatograph-Q1500D” (MOM, Hungary) at a heating rate of 5 °C/min in air on sample loads of about 60 mg.

X-ray photoelectron spectroscopy was performed with an XSAM-800 spectrometer (Kratos, UK), and Al $K\alpha$ radiation was recorded in a fixed transmission mode of the analyzer. The spectra

were measured with step size of 0.1 eV at 20 °C and a pressure in the analytical chamber of $5 \cdot 10^{-8}$ Pa. The photoelectron spectra were approximated by Gauss function or the sum of Gauss functions, and the background caused by secondary electrons and photoelectrons that lost energy, was approximated by the straight line. The energy scale of spectrometer was calibrated according to the standard procedure, taking into account the following binding energies: 932.7, 368.3 and 84.0 eV for $\text{Cu}2p_{3/2}$, $\text{Ag}3d_{5/2}$, and $\text{Au}4f_{7/2}$, respectively. Atomic sensitivity factor (ASF) in spectrometer software was used for quantification. Sample charging was corrected by referencing to the C-C/C-H peak in the C 1s spectrum (284.8 eV).

3. Results and discussion

In the initial phase of MVS, the interaction of metal vapor with toluene in both methods provides a thermally unstable Co or Ni complex (see **Figure 1**). Resultant toluene solutions of these complexes after the synthesis were transferred into the jelly-like HDPE-toluene mixtures pre-prepared by boiling polyethylene in toluene under argon followed by cooling of the mixtures down to 363 and 185 K.

The synthetic route **A** initiates rapid thermal decomposition of the complexes in the bulk of the polymer at a temperature by far exceeding the decomposition point. Metal nanoparticles fill curves and defects that exist in the polymer and are held in place by space or other factors.

Effects of temperature on the properties of the composites were controlled by magnetostatic measurements. Thermomagnetic curves for Ni-HDPE systems (see **Figure 2**) demonstrate reversible behavior over 80-370 K. An increase in temperature up to 420-430 K induces an irreversible drop in magnetization, which is probably due to the interaction of Ni particles with polymer to yield a weaker magnetic phase. Meanwhile, thermomagnetic curves of materials produced by annealing also demonstrate reversible behavior over 300-420 K.

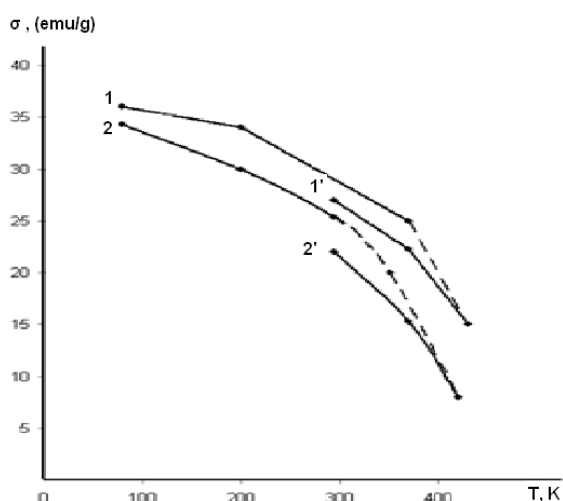


Figure 2. Thermomagnetic curves for Ni-HDPE composites. 1 - initial sample 1.4% Ni-HDPE; 2 - initial sample 4.3% Ni-HDPE. 1' and 2' - after annealing at 430 K and 420 K, respectively.

Co-HDPE samples manifest substantially different magnetic properties. **Figure 3** shows the

thermomagnetic curves of some representative Co-containing materials. A composite of 3.5% Co-HDPE exhibits a reversible thermomagnetic curve up to 370K, and demonstrates a decrease in magnetization by a factor of 1.5 upon cooling to 80K.

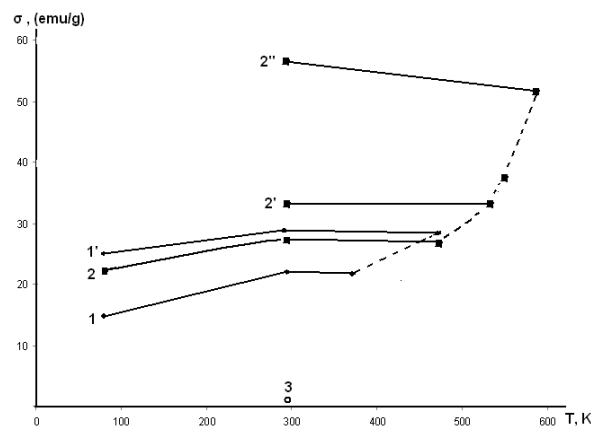


Figure 3. Thermomagnetic curves for selected Co-HDPE composites; 1- initial sample 3.5% Co-HDPE. 1' - after annealing at 470 K; 2 - initial sample 4.6% Co-HDPE; 2' and 2''- after annealing at 530 K and 585 K, respectively. 3 - sample 2.5% Co-polyethylene maintained at a cryogenic temperature.

An increase in temperature up to 470K results in an irreversible increase in magnetization. This change gives rise to a new thermomagnetic behavior reversible over 80-470K. This is a distinctive signature of the agglomeration of Co particles due to softening of the matrix related to the transition of HDPE into the viscous fluidic state. Further heating gives rise to progressive increase in magnetization of the composite.

Variations of cry-synthesis conditions strongly affect properties of the resultant products. A sample of 2.5% Co-HDPE maintained at cryogenic temperatures (method **B**) is characterized by weak magnetic properties. Its magnetization at room temperature is lower than that of samples with a similar metal content synthesized via the route **A** by a factor of 30-40 (see **Figure 3**). Furthermore, it is characterized by a very weak ferromagnetic resonance signal.

The MVS synthesis of non-ferromagnetic Ni powders upon interaction of metal particles with alkanes has been described earlier. At the same time, cobalt blacks demonstrate totally different behavior^[7]. Probably, the application of a lower temperature in the case of the toluene-HDPE

system coupled with ultra-sonication effectively encapsulate very small Co nanoparticles within the polymer shell.

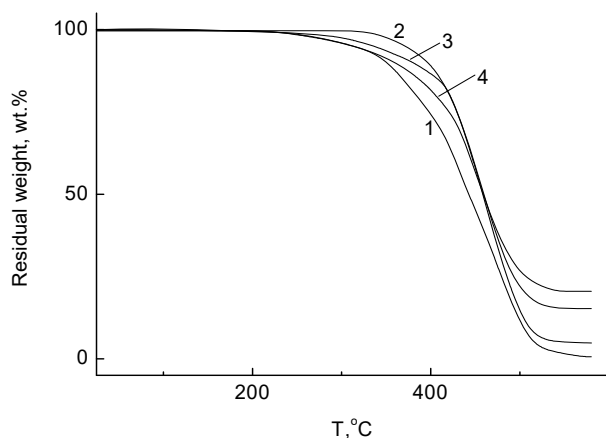


Figure 4. TGA Curves for HDPE Initial (1) and with 3.5 (2), 12.7 (3), and 20 wt.% of Co at a Heating Rate of 5 °C/min in air.

Thermal characteristics of the nanocomposites have been studied by TGA (**Figure 4**). It has been established that the introduction of Co into HDPE inhibits its thermos-oxidative decomposition. The decomposition point related to a 5% mass loss ($T_d^{5\%}$) in the case of metal-filled composites shifts to a higher temperature as compared to pristine HDPE. The thermos-oxidative resistivity of the nanocomposites appears roughly inversely proportional to the metal content. Indeed the composite containing 3.5 wt.% of Co is characterized by $T_d^{5\%}$ as high as 365 °C, whereas Co 12.7 wt% sample demonstrates $T_d^{5\%}$ of 329 °C, and the 20.0 wt.% sample reveals $T_d^{5\%}$ of 303 °C exactly as in pristine HDPE. Residual weight well correlates with the amount of metal Co introduced into HDPE.

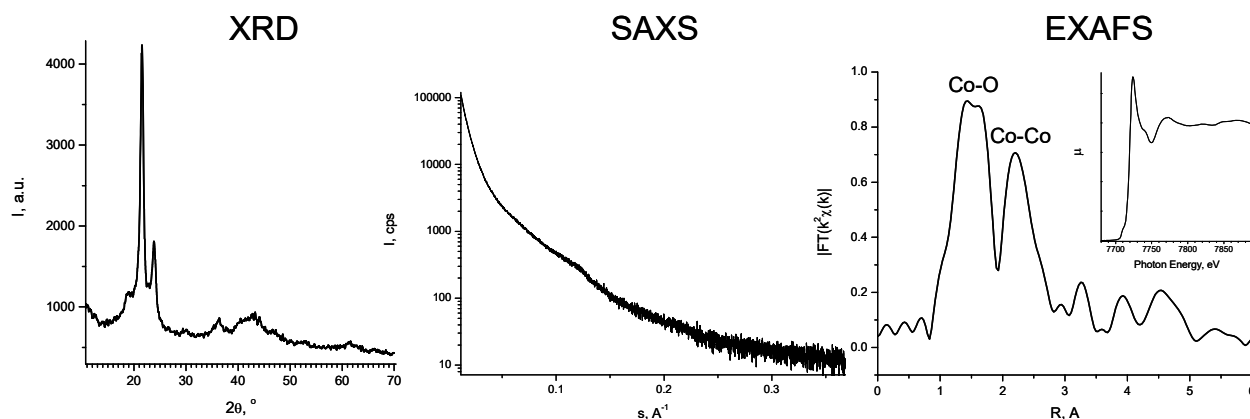


Figure 5. Results of structural characterization of a representative Co-HDPE composite.

Some results of structural characterization of the composites are represented in **Figure 5**. Co K-edge EXAFS and XANES data indicate that Co atoms are characterized by mixed oxide-metallic environment which probably means that cobalt nanoparticles have a core-shell structure with a metallic core stabilized inside an oxide or oxide-carbide outer shell. The size of the particles estimated from XRD and SAXS is 3-5 nm.

The thermomagnetic curves of Ni 4.3 wt.% - HDPE composite (**Figure 2, sample 2**) showed a significant decrease in magnetization after heating. This may be due to the appearance of a new non-magnetic phase. To determine the chemical state of the metal in this nanocomposite, a model sample of HDPE powder modified with bis (toluene) nickel as described elsewhere^[1,8,9] was prepared.

After the treatment, the toluene was distilled off in a vacuum, and the resulting Ni-HDPE system was studied by XPS. XPS quantification based on ASF gives composition $C_{88.5}O_{9.1}-Ni_{2.4}$.

Figure 6 shows the Ni 2p and O 1s photoelectron spectra of Ni-HDPE composite. The Ni 2p spectrum consists of spin-orbit doublet and two satellites. The binding energies of the main Ni 2p_{3/2} and Ni2p_{1/2} peaks are 856.7 and 874.4 eV, and the corresponding satellites are at 862.3 and 830.8 eV. The O 1s spectrum exhibits a broad peak at 531.4 eV which can be fitted with three Gaussian peaks at 531.0, 532.3 and 533.3 eV with Gaussian width of 2.14 eV and relative intensities of 46:49:5. The peak at 531.0 eV represents the bound hydroxide groups OH, while those at 532.5 and 533.3 eV represent O-C bonds and water. Taking into account characteristics of photoe-

electron spectra compiled in **Table 2**^[10-22], such as binding energies (E_b) of main and satellite peaks, satellite shifts ($\Delta E_1 = E_b(\text{Ni } 2p_{3/2} \text{ sat}) - E_b(\text{Ni } 2p_{3/2})$ and $\Delta E_2 = E_b(\text{Ni } 2p_{1/2} \text{ sat}) - E_b(\text{Ni } 2p_{1/2})$), spin-orbit splitting ($\Delta E_3 = E_b(\text{Ni } 2p_{1/2}) - E_b(\text{Ni } 2p_{3/2})$), an energy interval between Ni $2p_{3/2}$ and O 1s peaks ($\Delta E_4 = E_b(\text{Ni } 2p_{3/2}) - E_b(\text{O } 1s)$), we have assigned the Ni $2p_{3/2}$ spectrum to Ni(OH)₂ phase.

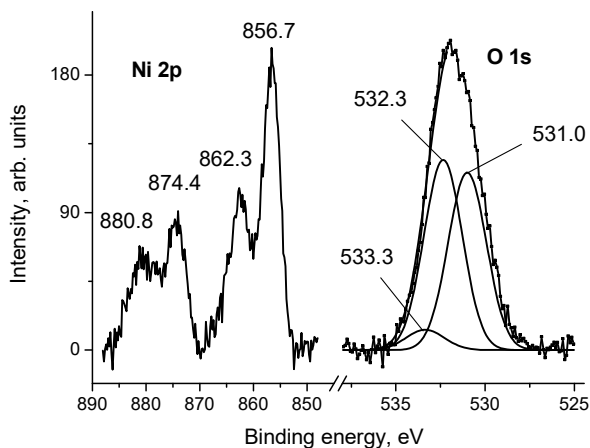


Figure 6. The Ni 2p and O 1s photoelectron spectra of Ni-HDPE composite.

In case of NiO, according to NIST XPS Database, the Ni $2p_{3/2}$ peak position is within value range of 853.4 – 856.5 eV^[23] while that of O 1s is at 528.9-530.7 eV. It should be noted that reliable assignment we have compiled only data with satellite shifts. To discriminate between NiO and Ni(OH)₂, we compared Ni 2p line shape as well^[24-26]. The Ni 2p binding energies of Ni-HDPE composite compared with those in **Table 1** show positive shift relative to the reference data. For the Ni/SiO₂ nanocomposite prepared by similar way, the chemical shift was less by 0.8 eV^[8,9].

This indicates a different character of the interaction of nickel nanoparticles with polyethylene and SiO₂. A possible reason for this difference may be the presence of a large amount of oxygen in SiO₂ in comparison with polyethylene and the manifestation of differential charging. Another reason may be a large concentration of hydrogen in the polyethylene, which promotes the predominant formation of Ni(OH)₂. The last hypothesis that can be confirmed by the appearance of a signal at 282.8 eV in the C 1s spectrum can be assigned to Ni-C bond.

The C 1s spectrum was fitted with four states at 282.8, 285.0, 286.5 and 288.5 eV with Gaussian widths of 1.54, 1.81, 1.81 and 1.98 eV and relative intensities of 6:85:8:1, respectively (See **Figure 7**). The peak at 285.0 eV represents the C-C/C-H bonds of polyethylene, while those at 286.5 and 288.5 eV represent C-O and C(O)O groups of oxidized carbon atoms. Therefore, the C 1s spectrum indicates strong electronic interactions between Ni and carbon atoms.

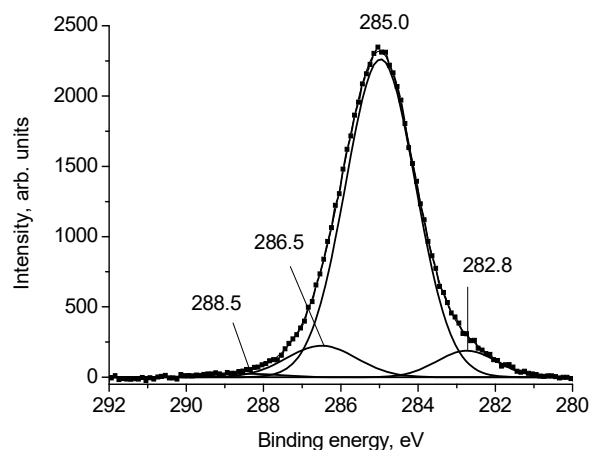


Figure 7. The C 1s photoelectron spectrum of Ni-HDPE composite.

This Ni(OH)₂-C interface is also an important factor to reflect the magnetic properties of Ni-PE composites. It should be noted that because the difference in kinetic energy determines the inelastic mean free path of electrons, C 1s photoelectrons have higher surface sensitivity than Ni 2p photoelectrons. The XPS analysis of the data for the Ni-HDPE composite showed that along with the metal oxidation process, the destruction of the polymer matrix and the formation of the C-Ni state occur. The probability of these processes increases significantly with increasing temperature. This can lead to the formation of a metal-carbon non-magnetic phase, the effect of which, apparently, appears in the study of magnetic properties. Co-HDPE nanocomposite was analysed by the XPS method. In the survey spectrum of the sample, no characteristic peaks of Co were observed. This indicates the presence of metal nanoparticles at a depth exceeding the information depth of photoelectrons Co 2p.

Table 2. XPS characteristics of Ni compounds: binding energies of different peaks (E_b), satellite splitting ($\Delta E_{1,2}$), spin-orbit splitting (ΔE_3) and $\Delta E_4 = E_b(\text{Ni } 2p_{3/2} \text{ sat}) - E_b(\text{O } 1s)$; all the energies are in eV

Sample	Ni $2p_{3/2}$	Ni $2p_{3/2}$ sat	ΔE_1	Ni $2p_{1/2}$	Ni $2p_{1/2}$ sat	ΔE_2	ΔE_3	O 1s	ΔE_4	Assignment for O 1s	Ref.
NiO	853.6 855.4	860.7	7.1					529.2			10
NiO	853.9 855.5	860.6	6.7					529.4			11
NiO	854.1 856.0	861.6	7.5					529.6			12
NiO	854.1 856.0	861.2	7.1					529.9			13
NiO	854.4 856.2	860.9	6.5					530.0			14
NiO	854.5 856.6	861.6 864.2	7.1 7.6	872.0 873.9	879.2 881.9	7.2 8.0	17.5	529.6 531.6	325.0	NiO O-C	15
NiO	855.22 857.13	861.42	6.2								16
α -Ni(OH) ₂	855.5	861.2	5.7	873.1	879.8	6.7	17.6	531.0 532.4	324.5	Ni(OH) ₂ H ₂ O, O-C	17
Ni ₂ O ₃ ·6H ₂ O	855.5	861.3	5.8	873.1	879.7	6.6	17.6	529.3 530.8	326.2	Ni ₂ O ₃ Ni(OH) ₂ , O-C	18
γ -NiOOH	855.7	861.5	5.8	873.2	879.8	6.6	17.5	531.0 532.8	324.7	NiOOH H ₂ O, O-C	19
Ni(OH) ₂	855.9	861.7	5.8	873.6	880.0	6.4	17.7	529.4 532.2	326.5	Ni(OH) ₂ , H ₂ O, O-C	20
Ni/SiO ₂	855.9	861.5	5.6					531.5	324.4	Ni-O	1,8, 9
β -Ni(OH) ₂	856.2	861.8	5.6	873.8	880.1	6.3	17.6	531.0	325.2	Ni(OH) ₂	21
Ni(OH) ₂	856.5	862.4	5.9	874.1	880.6	6.5					22
Ni(OH) ₂	856.7	862.3	5.6	874.4	880.8	6.4	17.7	531.0 534.5	325.7	Ni(OH) ₂ O-C	this work

Note: $\Delta E_1 = E_b(\text{Ni } 2p_{3/2} \text{ sat}) - E_b(\text{Ni } 2p_{3/2})$, $\Delta E_2 = E_b(\text{Ni } 2p_{1/2} \text{ sat}) - E_b(\text{Ni } 2p_{1/2})$, $\Delta E_3 = E_b(\text{Ni } 2p_{1/2}) - E_b(\text{Ni } 2p_{3/2})$, $\Delta E_4 = E_b(\text{Ni } 2p_{3/2}) - E_b(\text{O } 1s)$.

The inelastic mean free path length (λ) of Co 2p photoelectrons in pure Co is $\sim 12 \text{ \AA}$ ^[27], while that in polyethylene is $\sim 27 \text{ \AA}$ ^[28], and the corresponding information depth 3λ is $\sim 81 \text{ \AA}$. Because λ of the valence band and the C 1s photoelectrons is more than that of Co 2p photoelectrons, they are analyzed as well.

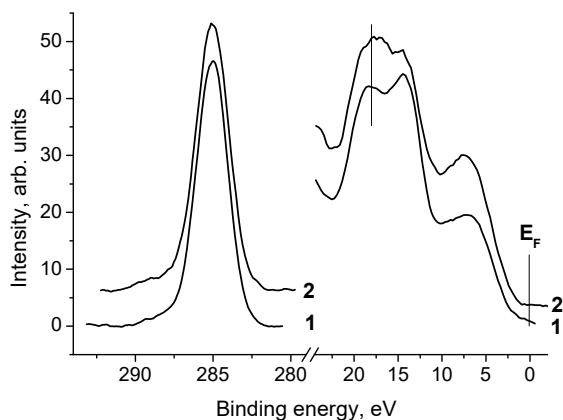


Figure 8. C 1s and valence band spectra of HDPE (1) and Co-HDPE nanocomposite (2)

The introduction of Co into polyethylene leads to an insignificant broadening of the C 1s

peak, while significant changes in the valence band spectrum are observed (**Figure 8**). These changes reflect the change in the density of states near the Fermi level. **Figure 8** shows the C 1s and valence band spectra of HDPE and HDPE-Co nanocomposite. The C 1s spectrum of HDPE can be fitted with three Gaussian peaks at 285.0, 285.6 and 288.4 eV with width of 1.98 eV and relative intensities of 93:4:3. The peaks are assigned to C-C/C-H, C-O and C(O)O groups, respectively. The C 1s spectrum of HDPE-Co nanocomposite can be fitted with three Gaussian peaks at 285.0, 285.6 and 288.7 eV with width of 2.04 eV and relative intensities of 91:5:4.

Figure 9 shows the O 1s spectrum fitted with three peaks at 529.4, 532.4 and 534.5 eV with width of 2.14 eV and relative intensities of 4:87:9. The peak at 532.4 eV is assigned to oxidized carbon atoms of HDPE, while that at 534.5 eV is assigned to chemisorbed water. The peak at 529.4 eV could be assigned to physisorbed water and/or O-Co bonds^[23,29]. However, the latter assignment

is less probable because of small difference in inelastic mean free paths of the Co 2p and O 1s electrons and great difference in ASF^[30].

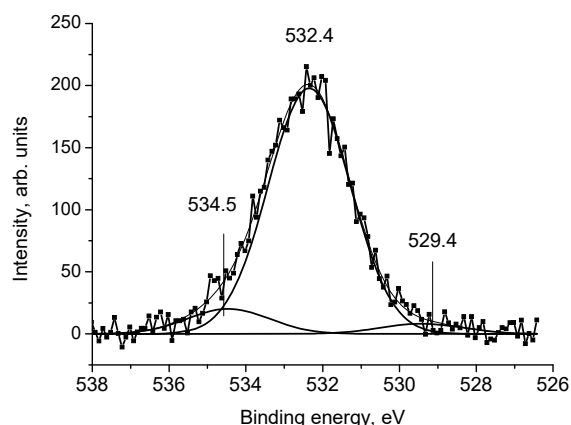


Figure 9. The O 1s spectrum of Co-HDPE nanocomposite.

4. Conclusion

The results presented above demonstrate that properties of nanocomposite materials with similar compositions are determined both by the synthesis conditions and post-synthesis factors, which has to be necessarily taken into account in their further processing.

An XPS analysis of the Ni-HDPE system showed formation of Ni-C bonds. When heated, this process accelerates. It can lead to the formation of a phase with lower magnetic characteristics. The Co-HDPE system behaves differently. Its heating is accompanied by an increase in the magnetization, which can be caused by the aggregation of metallic particles.

Acknowledgments

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REVIEW ARTICLE

Characterization and applications of diamond-like nanocomposites: A brief review

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ABSTRACT

Diamond-like Nanocomposites (DLN) is a newly member in amorphous carbon (a:C) family. It consists of two or more interpenetrated atomic scale network structures. The amorphous silicon oxide (a:SiO) is incorporated within diamond-like carbon (DLC) matrix i.e. a:CH and both the network is interpenetrated by Si-C bond. Hence, the internal stress of deposited DLN film decreases remarkably compare to DLC. The diamond-like properties have come due to deform tetrahedral carbon with sp^3 configuration and high ratio of sp^3 to sp^2 bond. The DLN has excellent mechanical, electrical, optical and tribological properties. Those properties of DLN could be varied over a wide range by changing deposition parameters, precursor and even post deposition treatment also. The range of properties are: Resistivity 10^{-4} to 10^{14} Ωcm , hardness 10–22 GPa, coefficient of friction 0.03-0.2, wear factor $0.2-0.4 \times 10^{-7} \text{mm}^3/\text{Nm}$, transmission Vis-far IR, modulus of elasticity 150-200 GPa, residual stress 200-300 Mpa, dielectric constant 3-9 and maximum operating temperature 600°C in oxygen environment and 1200°C in O_2 free air. Generally, the PECVD method is used to synthesize the DLN film. The most common procedures used for investigation of structure and composition of DLN films are Raman spectroscopy, Fourier transformed infrared spectroscopy (FTIR), HRTEM, FESEM and X-ray photo electron spectroscopy (XPS). Interest in the coating technology has been expressed by nearly every industrial segment including automotive, aerospace, chemical processing, marine, energy, personal care, office equipment, electronics, biomedical and tool and die or in a single line from data to beer in all segment of life. In this review paper, characterization of diamond-like nanocomposites is discussed and subsequently different application areas are also elaborated.

Keywords: Amorphous Carbon; DLC; DLN; PECVD etc.

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1. Introduction

Carbon is a fascinating element in nature. It has so many allotropes with versatile properties. Diamond, graphite, graphene, carbon nanotube, fullerene, etc. are made by carbon atoms but different structures and bonding hence unique their properties. Diamond is a crystalline allotrope of carbon with unique properties. Diamond has highest hardness and thermal conductivity compared to any bulk material. These properties result from the strong covalent bonding within its atoms and crystal structure. However, diamond cannot be synthesized in room temperature and atmospheric pressure. To synthesize it in lab, same environment to be created as at the depth of 140 to 190 km in the Earth's mantle. To overcome this problem, diamond-like materials are developed which can be synthesized in lab environment and at the

same time exhibits some properties closely resemblance with natural diamond. Diamond-like materials' chemical bonding i.e. mostly sp^3 states complies with natural diamond. Due to this closeness in chemical bonding, diamond-like material shows close resemblance in case of chemical inertness, hardness, thermal stability, thermal conductivity etc. in comparison with natural diamond.

Diamond-like or graphite-like are the umbrella terms which refer to different forms of amorphous carbon that exhibit some of the unique properties of natural diamonds or graphite and that can be synthesized in the lab environment. Diamond-like carbon or DLC is an amorphous hydrogenated carbon which is a blend of sp^2 bonded carbon atoms into sp^3 bonded carbon clusters. In DLC atomic structure, hydrogen can be present with an atomic concentration ranges from 0% to 50%. DLC acronym was first used by Aisenberg and Chabot^[1] who for the first time synthesized amorphous carbon films exhibiting some of the unique characteristics of natural diamond. Beauty of the DLC film is that, its properties can be tailored based on the concentration of $sp^2 - sp^3$ bonded carbon atoms and hydrogen concentration. Due to the room temperature deposition possibility, almost all materials those are compatible with vacuum environment can be coated with DLC films. Unique and tunable properties of DLC are: material hardness, low friction and high wear resistance, chemical inertness, optical transparency (visible light – infrared light), thermal conductivity, electrical resistivity, radiation resistance etc. Most of the present industrial applications of DLC films are protective coating but this application can be extended up to “data to beer storage”^[2,3]. However, the major limitation of application of DLC is high internal stress leads to peel off from substrate. Moreover, its thermal stability is also very poor. Sp^3 bonds transform to sp^2 bond at around 300°C. Those difficulties of DLC films could be controlled further by doping them with different chemical elements or compounds like silicon, oxygen, etc. Thus, a new class of amorphous hydrogenated carbon is formed whose chemical bonding complies with crystalline

diamond and wisely termed as diamond-like nanocomposite or DLN.

DLN consists of two interpenetrating networks. One is atomic scale diamond-like (carbon network), a-C:H, and another is quartz-like (silicon network), a-Si:O. Carbon network mainly consists of sp^3 hybridization, i.e. diamond-like bonds are chemically stabilized by “H” atoms. In silicon network, Si atoms are chemically stabilized by “O” atoms^[4]. Due to the presence of quartz-like oxygenated silicon network (a-Si:O), it is found that DLN has good adhesion property comparing to its predecessor DLC and hence it is suitable to coat almost any type of materials^[5]. Due to the interpenetrating network of hydrogenated carbon and oxygenated silicon, the internal stress is reduced and thus DLN composite shows good tribological performance over its predecessor DLC.

DLN coatings have been in existence since early 1990s. V.F. Dorfman first reported and synthesized this unique class of material^[4]. Later on Bekaert Advanced Coating Technologies (formerly known as Advanced Refractories Technologies) and Russian and American scientists patented DLN coatings for various protective coatings applications^[6-15]. Bekaert Advanced Coating Technologies, Belgium used plasma enhanced chemical vapor deposition (PECVD) method for growing such composite films. Chinese researchers have successfully used ion beam technology for growing DLN films^[16]. South Korean researchers have reported thermally activated CVD process for growth of DLN films^[17]. DLN coatings also have been used in micro-electromechanical systems (MEMS) applications like LIGA (German acronym for Lithographie, Galvano-formung und Abformung) structures^[18]. Moreover, diamond-like carbon/nanosilica composite films have been deposited on silicon substrates, making use of the electrolysis of methanol–dimethylethoxydisilane (DDS) solution at low temperature^[19]. DLN coatings also have been used in many applications^[20]. DLN was deposited with same type of reactor, used by Bekaert Advanced Coating Technologies, Belgium, by a research group to deposit the thin

film over Co-Cr alloy based knee implant of complex shape^[5].

Various researchers have recorded various unique characteristic of DLN thin film since its inception. Yang Won Jae *et al.*^[21] reported the relationship of I_D/I_G ratio with structural and mechanical properties of DLN thin film by varying bias voltage from -100V to -400V dc. The thermal stability of diamond-like nano composite coating over a wide temperature range was investigated by Yang *et al.*^[22]. The performance of DLN film from a tribological perspective was investigated by Venkatraman C *et al.*^[23]. The performance was satisfactory up to 400°C. Later, Neernick *et al.* in one of their papers stated low-friction, low-wear combined with low internal stress and good adhesion of DLN coating, making it suitable for tribological applications^[24]. Again Neernick *et al.* reported low-friction and low-wear behavior of diamond-like nanocomposite coatings even in humid environments. They also reported that DLN film is suitable for industrial applications as hard, self-lubricating coatings on sliding parts in the automotive, chemical, pharmaceutical or biomedical industry^[25]. Later, Bozhko A *et al.* showed non-ohmic effects in the electronic transport in tungsten and silicon containing diamond-like films^[26]. Sliding wire behavior of DLN was studied by Kester D J *et al.*^[27]. The dependence of diamond-like atomic scale composite (DLASC) parameter on deposition conditions thermal and radiation treatment was studied and reported by Polyakov VI *et al.*^[28]. Scharf TW *et al.* investigated the fundamental mechanisms of friction and interfacial shear strength in DLN coatings and the roles of contact stress and environment on their tribological behavior^[29]. Roger J. Narayan reported hydroxyapatite which is a bioactive ceramic that mimics the mineral composition of natural bones. Hydroxyapatite diamond-like carbon bilayer film is developed to improve adhesion and mechanical integrity. Thus, hydroxyapatite diamond-like carbon bilayer film serves as a good biomaterial for various orthopedic implants^[30]. Bursikova Vilma *et al.* recorded laser ablation of nitrogen-doped DLN thin layer, used commercially available MALDI-TOF MS instrumentation and performed identification of ablated species

from DLN materials^[31]. Mechanical properties of diamond-like nanocomposite thin films on a non-conducting ceramic substrate, here silicon nitride were investigated by A. Pandit *et al.* and hardness and modulus measured by nanoindentation and subsequently interfacial toughness of this hard film brittle substrate system were determined by vicker indentation^[32]. Venkatraman *et al.* deposited DLN films comprising of C, H, Si, O and metal atoms on metallized silicon substrates via an accelerated plasma approach. They concluded the tailorability of electrical and diamond-like properties of DLN thin film make them suitable for application requiring both wear resistance and electrical tailorability^[33]. Scharf *et al.* reported the frictional behavior of amorphous diamond-like nanocomposite coatings in low speed, dry sliding contact using a home-built in-situ Raman tribometer^[34]. Hauert R *et al.* investigated on tailored tribological and biological behaviour of DLC^[35]. Later, Mallouf R *et al.* reported biocompatibility of DLC and DLN material and showed the application area of DLC and DLN as biosensors^[36]. Pollak FH *et al.* showed unique surface and bulk properties of diamond-like atomic scale composite materials deposited on Si (001) substrate through atomic force microscopy investigation^[37]. The superiority of DLC coating over different materials used in hip joint prostheses was investigated by Platon F *et al.*^[38]. Sheeja *et al.* reported the tribological characteristic of surface modified UHMWPE against DLC coated Co-Cr-Mo. Coating both the sliding surfaces of UHMWPE and Co-Cr-Mo with DLC coating reduces the wear rates of the sliding surfaces to a noticeable extent^[39]. Kobayashi S *et al.* showed the wear properties of DLC coated UHMWPE and PMMA and the result was satisfactory^[40]. Later, Logothetidis S compared the haemocompatibility property between amorphous carbon (a-C) and amorphous hydrogenated carbon (a-C:H). Amorphous hydrogenated carbon showed better result as haemocompatible material may be used as biocompatible coatings on biomedical implants^[41].

DLN coatings have excellent bulk and surface property as well as thermal stability. This can be used as tribological coatings, chemical protective coatings^[23-25,27,34] and abrasion resistant coat-

ings. It has optical transparency over a wide bandwidth which includes visible light and infrared. Due to this reason, DLN coating is used as antireflection coating over the solar cell to enhance the overall efficiency of the system. Due to low residual stress DLN coating has excellent adhesion to variety of substrate materials^[30,32]. Researchers at Department of Cardiology, University Hospitals Leuven, Belgium^[42] reported the biocompatibility of DLN film resulting in decreased thrombogenicity and decreased neointimal hyperplasia. Awadesh Kr Mallik *et al.* reported that deposition of DLN coating by PECVD method over different substrate used as load bearing orthopedic implant and the result was satisfactory^[5].

Since its inception, DLN films are being received huge attention due to its attractive electrical mechanical optical and tribological properties such as reduced stress level, increased thermal stability, high hardness, low friction visible and infrared transparency etc. Dielectric permittivity and refractive index of DLN is lower than the DLC, whereas optical transparency is higher than the DLC films.

In this paper depositions, structure, chemical composition as well as mechanical, optical, electrical, properties of DLN composite films are elaborated and industrial and prospective applications of DLN films are discussed.

2. Deposition method

Two types of thin film deposition methods are generally used, CVD and PVD. On the one hand, in CVD, reactive gases interact with substrate. Generally, it is used to deposit Si and dielectrics. Quality of the deposited film is good and this method has good step coverage. On the other hand PVD is used to deposit metals, and purity of the deposited metal is high. But one disadvantage is that it requires line of sight operation. Various types of CVD are APCVD, LPCVD, PECVD and HDPCVD. Steps in CVD are:

1) Transport reactants via forced convection to reaction region.

2) Transport reactants via diffusion to wafer surface.

3) Adsorb reactants on surface.

4) Surface processes: chemical decomposition, surface migration, site incorporation, etc.

5) Desorption from surface.

6) Transport byproducts through boundary layer.

7) Transport byproducts away from deposition region.

PVD is a versatile deposition method. It can deposit almost any material. Substrate damage is less and very few chemical reactions are required. PVD undergoes some limitations like line of sight operation, shadowing, thickness uniformity and difficult to evaporates materials with low vapour pressure. It has two types, evaporation and sputtering.

3. DLN deposition method

PECVD is mostly used for deposition of DLN film over a substrate surface. PECVD reactor has five rotating substrate holders whose rotating speed can be controlled by a speed controller. Rotation of the substrate holders can be made in two modes, manual and automatic^[5]. During substrate attachment on the substrate holder, manual rotation is provided to adjust the position between holder and the operator. Automatic rotation is generally provided during the deposition process. In our PECVD reactor, liquid precursor is fed at the bottom of the vacuum chamber and due to the difference of pressure level between the reactor chamber and the ambience, precursor evaporates into vapour form. An inverted U-shaped tungsten filament is placed over the precursor outlet. Filament is kept at negative potential and it emits electrons which ionize the precursor vapour inside the reactor chamber. Precursor ions are pulled by negatively charged substrate holder where substrates are fixed. Thus precursor ions are finally deposited on the substrate surface and hence substrates are DLN coated. Prior to the coating process, inert gas Ar is introduced into the vacuum chamber to produce the plasma. These argon ions strike the substrate surface and clean the surface by ion etching process.

So, coating process includes the following mandatory steps:

1) Ion etching of the substrate was used to reduce the chance of contamination and better adhesion of coated material over the substrate surface.

2) Vapourization of material to be deposited.

3) Formation of radical of the material to be deposited.

4) Transportation of radicals towards the substrate surface.

5) Deposition/condensation of material onto the substrate surface.

4. Precursors

DLN films can be synthesized using composite materials of silicon and oxygen^[43]. These precursors might be gaseous (Silane – (SiH₄) and oxygen (O₂) mix)^[44], tetra methyl silane-TMS ((CH₃)₃SiH and oxygen mix)^[45] or liquids hexamethyl disiloxane HMDSO (C₆H₁₈OSi₂)^[46-51], or tetraethoxysilane (TEOS, (C₂H₅O)₄Si^[52], or tetraethylorthosilicate-TEOS (SiC₈. H₂₀O₄)^[21,53,54], hexamethyl disilane-HMDS (C₆H₁₈. Si₂)^[55] and mixed siloxane and silazane precursors. Liquid precursors are mostly used for their easy handling and various choices^[17]. These carbon, silicon, oxygen or nitrogen containing precursors are mixed with hydrocarbon gas (CH₄, C₂H₂ etc) or inert gas Argon (Ar). This mixture is used to coat the substrate under vacuum condition. Silane gas is rarely used as DLN film due to its highly flammable nature and toxicity. So, instead SiH₄, HMDSN, HMDSO are commonly used as precursor liquid now a days due to its less toxicity and flammability.

5. Structure and composition of DLN composite films

Raman spectroscopy, Fourier Transformed Infrared spectroscopy (FTIR) and X-ray photoelectron spectroscopy (XPS) are frequently used techniques to study the structure and chemical composition of synthesized DLN films. Combination of these techniques gives overall information about the structure and composition of DLN thin films. XPS is a surface sensitive quantitative spectroscopic technique that measures the chemical composition, electronic states etc. of the elements

presented on the surface of a film. It was found that DLN film consists of carbon, silicon, hydrogen and oxygen, yet it is not possible to determine the amount of hydrogen using XPS technique. Analyzing the position and shape of the peak obtained due to C, Si and O atom, one can draw the quantitative and comprehensive picture of chemical bonding (C-C, Si-C, O-C-O, Si-O) presented in the DLN films^[53].

Raman spectroscopy is a fast and non-destructive way to study amorphous carbon as it can reveal the information of clustering of sp² phase and orientation of sp² phase as well as the hydrogen content^[56]. In Raman spectra, all carbons show common features in the (800-2000) cm⁻¹ region. G and D peaks lie at ~1560cm⁻¹ and ~1360 cm⁻¹ respectively in visible excitation and T peak at ~1060 cm⁻¹ and visible only for UV excitation^[56]. G peak is due to bond stretching of all pairs of sp² atoms in both rings and chains. D peak is due to the breathing modes of sp² atoms in the rings. T peak is due to the C-C sp³ vibration^[53].

6. Properties

6.1 Mechanical properties

In DLN film, hydrogenated carbon and oxygenated silicon are penetrating to each other in the microstructure of the film. Thus, internal stress substantially is lower in the DLN material^[57]. Stress of DLN film deposited using HMDSO precursor vapour by ICP PE-CVD was ~ 15 GPa compared to 11 GPa stress of DLC films deposited using CH₄ gas. Stress can be further decreased by increasing the Si atomic concentration^[52]. Hardness and young modulus are decreased in DLN film in comparison with DLC film^[22,45,46,53,54,58,59].

6.2 Coefficient of friction and wear resistance

Study reveals that coefficient of friction of DLN films was lower than DLC films. Coefficient of friction of DLN films does not depend monotonically with chemical composition^[24]. Lowest and highest reported coefficient of friction ranges were reported as 0.04-0.08 and 0.1-0.2 respectively. Friction coefficient depends upon substrate bias^[53]. Friction of coefficient <0.05 was reported

when substrate bias was in between -100V and -200V and coefficient of friction increase-d >2 times with increase of substrate bias up to -500V.

It is already reported that, wear resistance of DLN with impurity like SiO_x is 15-20 times lower than the wear resistance of DLC film^[58]. It has been also reported^[5] that wear resistance of DLN film is in the range of 0.2-0.4 compared to wear factor of DLC film 0.5-1. Wear resistance is further decreased with increased concentration of Si and O^[24]. In general, DLN film has lower residual stress, lower coefficient of friction in dry as well as humid atmospheres. Deposition parameter must be chosen carefully to fabricate DLN films with desired level of hardness, young's modulus and wear resistance.

6.3 Optical properties

In amorphous hydrogenated carbon (a-C:H), there is a coexistence of sp² and sp³ carbon atoms. So, it has both π and σ electrons. Optical properties of amorphous carbon is determined by π-π*

and σ-σ* electronic transitions, along with π-σ* and σ-π* transitions^[60]. Optical properties are characterized by optical band gap E_g (Tauc gap), energy E₀₄ and Urbach energy E_U. Incorporating Si, O atoms in amorphous carbon (a-C:H) causes change in optical properties like optical band gap and refractive index in the DLN films (a-C:H; a-Si:H). It is reported that increase in optical band gap causes increase in optical transparency^[46,62,63].

6.4 Electrical properties

Dielectric constant depends upon different substrates used for deposition of DLN films (Ti, Si, Al, Cr). Such dependence is due to different structure of the films as well as different roughness of the used substrates^[64]. Experiment reveals that DLN film has good dielectric properties. DLN films are used as an insulating material in a MIM (Metal-insulator-metal) capacitor and shows good results^[65].

Result based on the experiments made by Awadesh Kr Mallik *et al.*^[5] are tabulated below:

Table 1. Results of property and range of obtainable values

Property	Range of obtainable values
Coefficient of friction	0.03-0.2
Adhesion	107-109 N/m ² Adhere to a wide range of substrates including metals, plastics and ceramics; no interlayers required
Thermal stability	Stable to 400-600 °C in air; capable of 1000-1200 °C in absence of oxygen
Physical flexibility	Very flexible; dows not crack when bent
Corrosion and erosion	Resistant to acids, alkalis and particulates due to pore free structure
Electrical resistivity	Undoped:10 ¹² -10 ¹⁴ Ohm cm; Metal doped: upto 10 ⁻⁴ Ohm cm
Hardness	10-22 Gpa
Modulus of elasticity	150-200 Gpa
Residual stress	200-300 Mpa
Transmission	70-80% at 05-20 microns

7. Applications

In DLN, two microstructures (hydrogenated carbon and oxygenated silicon) are interpenetrating each other and thus internal stress is decreased and adhesion property is increased and hence this composite has improved adhesion to almost all kinds of substrates. It has huge application in the field of biomedical implants. This can be used as biomedical sensors as well. DLC coatings can be used as protective wear resistant coating in joint prostheses. Awadesh Kr *et al.* already deposited DLN coatings by PECVD method over various substrates like SS 316 L, glass, Si (100), ceramic (Al₂O₃), ultra-high molecular weight polyethylene

(UHMWPE), Co-Cr alloy, Ti₆Al₄V alloy, etc^[5]. Most of these materials have been in use as load-bearing orthopedic implants, like hip joint, knee joint, etc^[66]. As its coefficient of friction is very less, DLN films can be used as wear resistant coating. As it is anticorrosive, this composite can be used as anti-corrosive coating on wrist watches, brass made show pieces etc. to enhance their longevity. Mechanical properties of DLN material along with the low refractive index and higher transparency compared with other thin film materials, makes DLN an attractive option for choosing DLN as a protective coating^[44]. Santra TS *et al.* reported DLN films have their unique number of structural, mechanical and tribological proper-

ties which are quite similar with MEMS material. Due to these unique properties of DLN films, these are suitable for MEMS/NEMS devices manufacturing. The high mechanical properties of DLN films make it applicable for design of high frequency resonator and comb driver for sensing and actuating applications. DLN film is a biocompatible material. So, we can use DLN films for detection of bio-molecules in biological research and disease diagnosis^[67]. Due to its infrared transparency, this can be used as anti-reflective coating on solar cell, glass etc. Sukhendu Jana *et al.*^[65] reported diamond-like nanocomposite film behaves as a dielectric medium. DLN thin film based MIM capacitor is applicable for high capacitance and high frequency operation. Thus, DLN thin film MIM capacitor has great potential for use in electrical or electronic circuit. It has already been reported that DLC are used for optical wave guiding sensing systems^[68], transistors^[69] and high temperature sensing up to 600 °C^[70]. As DLN is an improved version of DLC, there is a possibility to use DLN for the same purpose. Diamond film grown on p-Si substrates using MWPECVD technique^[71-73] may be used as antenna for harsh environment in aviation application. So, in DLN, the highest physical limits of mechanical, electronic and “sensor” properties can be combined and thus smart future material can be constructed. The DLN film has been applied on silicon solar cell as antireflection and passivation coating with significant enhancement of solar cell efficiency^[74-75].

8. Conclusion

Diamond-like nanocomposite has become an interesting material due to its tunable unique properties. This material could be deposited on various types of materials by PECVD method at low temperature. The different properties of the film could be varied over wide range by changing deposition parameters, precursor, doping and even post deposition treatment also like annealing. Low residual stress, high hardness, high young modulus and low wear make them a suitable material as wear protecting coating, MEMS, etc. In addition, biocompatibility of the film expands its application to medical area also. C-Si/ a: DLN hetero-

junction is used to sense different toxic gasses. Recently, this film has been used as an antireflection coating on crystalline silicon solar cell due to its excellent optical property.

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REVIEW ARTICLE

Nano-composites and their applications: A review

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ABSTRACT

Nanocomposites are high performance materials which reveal rare properties. Nanocomposites have an estimated annual growth rate of 25% and fastest demand to be in engineering plastics and elastomers. Their prospective is so prominent that they are valuable in numerous areas ranging from packaging to biomedical applications. In this review, the various types of matrix nanocomposites are discussed highlighting the need for these materials, their processing approaches and some recent results on structure, properties and potential applications. Perspectives include need for such future materials and other interesting applications. Being environmentally friendly, applications of nanocomposites propose new technology and business opportunities for several sectors of the aerospace, automotive, electronics and biotechnology industries.

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1. Introduction

Nanocomposites are composites in which at least one of the phases demonstrates dimensions in the nanometre range^[1,2]. The word nanotechnology is novel but the existence of functional devices and structures of nanometer dimensions is primitive. In the fourth century, Roman glassmakers were formulating glasses encompassing nanosized metals. Nanocomposite materials have materialized as appropriate substitutes to incredulous restrictions of micro-composites. They are also testified to be the resources of 21st century in the view of having design rareness and property groupings that are not found in conventional composites^[3-5]. Nevertheless, they pose preparation challenges associated to the regulator of basic arrangement and stoichiometry in the nanocluster phase. Nanocomposites are high performance materials demonstrating rare property arrangements^[6-8]. Their potential is so conspicuous that they are beneficial in numerous areas ranging from packaging to biomedical applications. In this paper, the various types of matrix nanocomposites are presented underlining the need for these materials. Their processing methods, structure, properties and potential applications are discussed^[9,10].

Nanocomposites suggest rare properties that ascend from their small size, large surface area, and the relations of phases at their interfaces. They are striking for their prospective to develop performance of drugs, catalysts, biomaterials and other high value added materials. It has been reported that deviations in particle properties can be observed

when the particle size is less than a particular level, called 'the critical size'^[11,12]. As dimensions reach the nanometre level, interactions at phase interfaces become mostly enhanced. Additionally, unearthing of carbon nanotubes and their successive use to formulate composites demonstrating some of the unique carbon nanotubes related mechanical, thermal and electrical properties, added a new and fascinating dimension to this area. Currently, nanocomposites offer new technology and business opportunities for all zones of industry, in addition to being environmentally friendly^[13-15].

2. Prospects of nano-composites

Nanocomposites offer an exceptionally extensive range of prospective applications from electronics, optical communications and biological systems to new materials. Many possible applications have been explored and many devices and systems have been considered. More potential applications and new devices are being proposed. It is evidently impossible to recapitulate all the devices and applications that have been studied. It is interesting to note that the applications of nanocomposites in diverse fields have clearly different demands, and thus face different challenges, which necessitate different approaches^[16-18]. The reinforcements re-fract the crack and deliver connecting elements, deterring further opening of the crack. The integrated phase experiences and phase transition in conjunction with the volume increase introduced by the stress field of a propagating crack, contributing for the toughening and strengthening processes. The potential of ceramic matrix nanocomposites, chiefly the Al₂O₃/SiC system, was exposed by the revolutionary work of Niihara. The toughening mechanism was based on the crack-bridging role of the nanosized reinforcement. The merger of high strength nano-fibres into ceramic matrices has permitted the preparation of advanced nanocomposites with high toughness and superior failure characteristics compared to the sudden failures of ceramic materials^[19,20].

Space mission projects implicate ultra-lightweight space-crafts. These spacecraft devices are mobile mechanical parts such as gyroscopes, gears, solar arrays, antennae, drives, sunshields, rovers,

radars, solar concentrators, and reflector arrays. These parts will have to be manufactured from flexible, appropriate materials, which can be folded or packaged into small volumes. This is needed since the structure consisting of ultra-lightweight parts would be deployed mechanically into a large ultra-lightweight functioning. It is imperative that the above mentioned characteristics should be available in one single material. Similarly, rocket propellants are prepared from a polymer-Al/Al₂O₃ nanocomposite to improve ballistic performance^[21-23].

2.1 Processing of nanocomposites

Ceramic metallic nanocomposite matrix materials include Al₂O₃, SiC, SiN, etc., while metal matrices employed in metal matrix nanocomposites are mainly Al, Mg, Pb, Sn, W and Fe, and a whole range of polymers, e.g. vinyl polymers, condensation polymers, polyolefins, and speciality polymers are used in polymer matrix nanocomposites. Both synthetic and natural crystalline reinforcements have been used, such as Fe and other metal powders, clays, silica, TiO₂ and other metal oxides. Similarly, carbon nanotubes are prepared mostly by chemical/vapour deposition methods. In the case of carbon nanotubes, use of surfactants, oxidation or chemical functionalization of surfaces is some of the techniques employed. Physical blending and in-situ polymerization are used for improving dispersion in the case of carbon nanotube-reinforced polymer composites, while alignment of carbon nanotubes could be achieved by techniques such as ex-situ techniques^[24,25].

2.2 Structure and properties of nanocomposites

The structure of nanocomposites consists of the matrix materials, containing the nanosized reinforcement components in the form of particles, whiskers, fibres, nanotubes, etc. Various techniques have been employed to characterize the nanocomposites, including atomic force microscopy (AFM), scanning tunnelling microscopy (STM), Fourier transformed infrared spectroscopy (FTIR), X-ray photoelectron spectroscopy (XPS), nuclear magnetic resonance (NMR), differential scanning calorim-

etry (DSC), scanning and transmission electron microscopy (SEM/TEM), etc. Simultaneous small angle X-ray scattering (SAXS) and X-ray diffractometry (XRD) studies have been recently used for quantitative characterization of nanostructures and crystallite structures in some nanocomposites^[26-28].

Briefly, the density of single walled carbon nanotubes is less than one sixth of that of steel while the density of multi walled carbon nanotubes is one half of that of Al. Tensile strengths of single walled carbon nanotube and multi walled carbon nanotubes are reported to be in a range much higher than of high strength steel, while Young's modulus values are comparable to those of diamond. They exhibit tremendous resilience, in that they can sustain bending to large angles and restraightening without damage, in which they differ from the plastic deformation of metals and the brittle fracture of carbon fibres. Similarly, theoretical thermal and electrical conductivities are comparable with that of diamond, with an almost negligible thermal expansion coefficient. They also exhibit high thermal stability both in air and in vacuum, compared to the lower values obtained for metal wires in microchips, and high parallel and perpendicular magnetic susceptibilities^[29-30].

2.3 Ceramic matrix nanocomposites (CMNC)

The most common methodologies for preparation of CMNC, are conventional powder method, polymer precursor route, spray pyrolysis, vapour techniques and chemical methods, which include the sol-gel process, colloidal and precipitation approaches and the template synthesis. Ceramics are usually brittle and easily fractured as consequence of crack propagation. Ceramics are made suitable for engineering applications through the incorporation of a ductile metal phase or another ceramic into the matrix. This leads to improved mechanical properties such as hardness and fracture toughness, which occur as a result of the relationship between the different phases, matrix and reinforcements, at the phase boundaries^[31,32].

3. Ceramic matrix-discontinuous reinforcement nanocomposite systems

tems

There is a significant improvement in the strength of the nanocomposite compared with its micro-counterpart. The fracture strength is noticeably higher because of the higher interfacial interaction between the particles in nanocomposites. Besides, Al₂O₃-5 to 15% SiC systems exhibited superficial grooves of plastic deformation compared to the intergranular fracture observed in monolithic materials. Furthermore, at 1673 K and tension of 200 MPa, Si₃N₄ fails after 0.4 hours at 0.3% strain, whereas the Si₃N₄/10% SiC nanocomposite does not fail even after 1,000 hours at 1.5% strain. Coming to morphological studies, microstructures of some ceramic matrix nanocomposites of Al₂O₃ and Fe₂O₃ contain a good distribution of Co and Ni nano-particles^[33,34].

3.1 Ceramic matrix-carbon nanotube systems

When the volume content of carbon nanotubes is lower than 5 vol. %, both bending strength and fracture toughness increase with increasing volume of carbon nanotubes. However, loadings higher than 5% cause decrease in these two properties. At 5 vol. %, the increment in strength and fracture toughness, compared with that of monolithic SiO₂, is up to 65 and 100%, respectively. This increase in mechanical properties is due to the large aspect ratio and excellent mechanical properties of carbon nanotubes, according to the theory of short fibre-reinforced composites^[35-37]. The decrease in bending strength at high loading is due to the limitation caused by carbon nanotubes during densification, as they express a higher probability for agglomeration. Also, the higher the loading of carbon nanotubes, the higher is their pull out from the matrix during stress transfer. Unusual behaviours such as high contact-damage resistance without a corresponding enhancement in toughness have also been reported in Al₂O₃/nanotube composites. The micro-hardness of these systems increases as the carbon nano-tube content is increased up to 4 wt. (%). This is probably due to grain size effects and the reinforcement role of carbon nanotubes. As the carbon nanotube content increased above 10 wt. (%), wear losses also notably increased^[38-40].

Preparation of SiC/carbon nanotubes showed a 10% upgradation in the strength and fracture toughness as compared to the monolithic ceramics. These results were attributed to nanotube/matrix debonding and crack deflection. As a consequence, many attempts have been made to improve mechanical properties through the incorporation of carbon nanotubes in ceramic matrices. However, the detected improvements were not as intense as expected. Single walled carbon nanotubes applied in the reinforcement of ceramic composites through spark-plasma sintering, resulted in a 194% increase in fracture toughness over pure alumina. A 24% increase in fracture toughness over the matrix was observed in nanograined Al_2O_3 composite containing 10 vol. % multi walled carbon nanotubes, which was attributed to the oxidation of carbon nanotubes before dispersion. In this case, the material was produced in three conditions, viz., mixed, hot pressed (1573 K) and sintered to near theoretical density^[41-43].

3.2 Metal Matrix Nanocomposites (MMNC)

The discovery of new alloys has been escorted by major developments. The bronze was initiated by the discovery that intimate mixing of copper and tin, yielded a much stronger metal than copper. Regardless of methods of synthesis, most nanocrystalline based metal-metal nanocomposites exhibit a remarkable resistance to grain growth. Thermal stability and the mechanisms involved in nanocrystalline materials are not only related to the microstructural and compositional parameters but also associated to porosity, impurity, grain size distribution, texture and microstrain resulted during the processing of nanocrystalline materials. The simple mixing of two different metal nanocomposites will transpire with new properties. Nanocomposite systems such as carbon nanotubes, have been extensively studied. There has been a continuous increase in the number of publications on the subject, including reviews from time to time. In the case of PMNC, reviews deal with processing aspects, including those on layered silicates, conducting and biodegradable polymer-based systems, fibre reinforced structure, morphology and property as-

pects as well as with applications and perspectives, including key opportunities and challenges in the development of structural and functional fibre nanocomposites^[44-46].

The techniques used for the processing of metal matrix-nanocomposites are spray pyrolysis, liquid metal infiltration, rapid solidification, vapour techniques, electrodeposition and chemical methods, which include colloidal and sol-gel processes. Fe-based nanocomposites are prepared by solidification techniques. Branagan stresses on “devitrified nanocomposite steel”. The formation of nano-phases was explained by the high nucleation frequency within the limited time for growth of grains before impingement. Use of ultrasound helped to improve the wettability between the matrix and the particles^[47-48].

3.3 Metal-discontinuous reinforcement systems

The $\alpha\text{-Fe/Fe}_{23}\text{C}_6/\text{Fe}_3\text{B}$ system provides a good example of how unique properties may arise from metal nanocomposites. Vickers hardness values of these two forms of the alloy produced by Branagan and Tang were found to be 10.3 and 11 GPa in the as-solidified condition. The ribbon variety showed increased hardness with increasing heat treatment temperature, showing a maximum of 16.2 GPa at 973 K and there after decreasing to 10.5 GPa at 1123 K. This can be compared to the decreasing trend of the ingot type (8 and 6.6 GPa at 873 and 973 K respectively). The Al/SiC system also illustrates the advantages of metal nanocomposites compared to their micro counterparts. There is a linear increase in hardness with increasing volume fraction of the harder phase (SiC) until the maximum value of 2.6 GPa for the sample that contains 10 vol. % of SiC. The values of Young's and shear modulus increase significantly with increasing SiC content, suggesting the formation of a nanocomposite material containing a brittle phase (SiC) embedded in the ductile Al matrix. Al/SiC nanocomposite exhibits notably higher Young's modulus and hardness than its micro counterpart. For example, the nanocomposite shows 12.6% increase in hardness and 105.1% in Young's modulus. Also, Al/Pb nanocomposites exhibit improved frictional fea-

tures^[49-51].

4. Metal matrix-carbon nanotube composites

Electrical properties of an Al/carbon nanotube system measured between 4.2 K and room temperature revealed an increase from 4.9 to 6.6 $\mu\Omega$ cm at room temperature for 1 and 4 wt. (%) carbon nanotubes, but a decrease to 5.5 $\mu\Omega$ cm for 10 wt. (%) carbon nanotube), compared to the value of 3.4 $\mu\Omega$ cm for the Al matrix. At lower temperatures, resistivity of all composites decreased linearly, as in the case of metals, with an abrupt drop of about 90%. On the other hand, compression testing of these Al-carbon nanotube composites exhibited identical stress strain curves for both the composite without the precursor and pure Al, except for large elastic strain, while those with the precursor, though similar in shape, exhibited increased compression stresses. At a higher multi walled carbon nanotube loading (1.6 vol. %), proof stress increased seven fold, in contrast to a not so significant enhancement in polymer-carbon nanotube composites. The enhanced mechanical property has been attributed to the confinement of the Al matrix by the multi walled carbon nanotubes on nanoscale^[52-55].

4.1 Strengthening mechanisms in metal matrix-composites

Upon cooling, dislocations form at the reinforcement/matrix interface due to the thermal mismatch. The thermally induced dislocation punching results in indirect strengthening of the matrix. In age-hardenable matrix-materials, the thermally induced dislocations serve as heterogeneous nucleation sites for precipitate formation during the aging treatment^[56,57].

4.2 Polymer matrix-discontinuous reinforcement (non-layered) nanocomposites

The reinforcing materials engaged in the fabrication of polymer nanocomposites can be categorized according to their dimensions. Examples comprise spherical silica, metal particles and semiconductor nanoclusters. The second kind of reinforcement is formed by nanotubes or whiskers, which contain two dimensions in the nanometre

scale and one larger, forming an extended structure. Carbon nanotubes and cellulose whiskers, broadly studied as reinforcing nanofillers, can be included in this second category^[58-60]. The third type of reinforcement is characterized by only one dimension in the nanometre range. In this group, the filler contains sheets one to a few nanometres thick and hundreds to thousands nanometres long. This family is called polymer-layered nano-composites. Many synthetic and natural crystalline hosts that are able, under specific conditions, to intercalate a polymer have been described. Examples include graphite, metal chalcogenides, clays, layered silicate and layered double hydroxides. Nanocomposites based on clay and layered silicates have been usually explored due to the handiness of clay starting materials and their eminent intercalation chemistry^[61-63].

4.3 Polymer nanocomposites with layered reinforcements

Although the chemistry of polymers towards layered silicates has long been known, the field of polymer layered silicate nanocomposites has gained inspiring attention. Firstly, the results obtained on Nylon-6 (N6)/montmorillonite nanocomposites, which showed that a small concentration of layered silicate lead to notable changes in thermal and mechanical properties. Secondly, the observation by Vaia *et al.* is possible to melt-mix polymers with layered silicates, without the use of organic solvents. The incorporation of montmorillonite into a Nylon-6 matrix has led to a noteworthy enhancement in its mechanical properties. The Young's modulus (or tensile modulus), for example, of pure Nylon-6 (1.11 GPa) was strongly upgraded when the nanocomposite was formed. The Nylon-6/MMT with a filler content of 4.1 wt. (%) gave a value of 2.25 GPa, which corresponds to an increase of 102.7%. Also, regarding Nylon-6 nanocomposites, a strong interaction between matrix and silicate layers occurs via formation of hydrogen bonds. This behaviour can also be supported by propene-modified maleic anhydride (PP-MA)/LS nanocomposites^[64-66].

Exfoliated Nylon-6 and intercalated PMMA nanocomposites exhibited a prodigious increase in

the stress at break. This can be due to the polar (PMMA) and ionic interactions (Nylon-6 grafted onto the layers) between the matrix and the silicate layers. This increase is larger in Nylon-6 nanocomposites. On the other hand, propylene-based nanocomposites displayed only a small enhancement in tensile stress, which can be explained by the lack of interfacial adhesion between non-polar PP and polar-layered silicates. However, addition of maleic anhydride-modified polypropylene to the polypropylene matrix has confirmed to be effective in the intercalation of the PP chains and the maintenance of the ultimate stress at a satisfactory level. This finding is attributed to the weak interactions at the polystyrene-clay interface. It is imperative to note that in previous compositions in which polar interactions were developed, strengthening at the filler matrix interface was observed. Usually, when LS are dispersed in thermoplastics such as PMMA, PS or PP, the elongation at break is reduced^[67-70]. The reported decrease in elongation is from 150% in pure PP matrix, to 105% for a 6.9 wt. (%) non-intercalated clay micro-composite. On the other hand, in a nanocomposite filled with 5 wt. (%) silicate layers, the more noticeable drop was 7.5%. Conversely, this loss in eventual elongation did not occur in elastomeric epoxy or polyol polyurethane matrices. In these cases, introduction of the nanoclay in cross-linked matrices causes a rise of the elongation at break. While a drop in the elongation at break can be perceived for the conventional composite, a minor improvement in this property can be observed for the intercalated nanocomposite^[71-73].

Finally, exfoliated nanocomposites exhibit a large increase in the elongation at break. This is probably due to the plasticizing effect of the galleries, their contribution to the formation of dangling chains and conformational effects at the clay-matrix interface. The combination of improved stiffness (Young's modulus), toughness (stress at break) and elasticity (strain at break) makes elastomeric nanocomposites suitable candidates for the generation of a new family of high performance materials. Polyimide is another example of a polymer matrix material showing an increase in both stress and elongation at break. For example, when filled with mont-

morillonite exchanged with hexadecylammonium, these properties increase with the filler loading at least up to 5 wt. (%). At higher filler contents, both properties drop towards values lower than those described for the filler-free matrix due to the formation of non-exfoliated aggregates which make these composites more brittle^[74,75].

Another nanocomposite system studied in great detail is Nylon-6 protonated aminododecanoic acid, which has been studied for its impact resistance properties. The nanocomposite synthesized by in-situ intercalative polymerization had its Izod impact strength reduced from 20.6 to 18.1 J/m compared with the pure matrix when 4.7 wt. (%) of nanoclay was incorporated. Charpy impact testing displayed a similar reduction from 6.21 kJ/m² to 6.06 kJ/m² for the 4.7 wt. (%) nanocomposite. There is good resistance to impact, high Young's modulus, good flexural modulus and a notable enhancement in the heat distortion temperature, going from 338 K for pure Nylon-6 to more than 423 K for the nanocomposite, have allowed this material to replace glass fibre-reinforced nylon or polypropylene in the production of timing belt covers of automotive engines^[76-78].

5. Conclusions

Innovative technologies necessitate materials exhibiting unique properties and upgraded performance. Therefore, nanocomposites are appropriate materials to meet the developing demands arising from scientific and technologic advances. Processing methods for different types of nanocomposites pose challenges, thus giving opportunities for researchers to overcome the problems faced with nanosized materials. They propose better performance over counterpart materials and are subsequently appropriate materials to overcome the restrictions of many presently prevailing materials and devices. Many applications previously exist. These materials have much potential in engineering field. Due to high mechanical properties, gas barrier, flame related properties, and the market for these materials have been projected in various sectors. Thus, all types of nanocomposites offer opportunities and rewards, creating new world wide interest in these new materials.

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Characterization and Application of Nanomaterials

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